HDFC MUTUAL FUND
BHAROSA APNO KA

Application-cum-Transaction Form for Large Investors (minimum application amount Rs. 25cr.) & Market Makers

(For Scheme Riskometer and Benchmark Riskometer refer last page of application form)

This Form should be completed in English and in **BLOCK LETTERS** only. **All fields are Mandatory.**

Application No.:

KEY PARTNER / AGENT INFORMATION

ARN/RIA Code/Stock Broker/ Portfolio Manager Registration Number (PMRN)	ARN/RIA/Portfolio Manager's/ Stock Broker's Name	Sub Agent's ARN	Bank Branch Code	Internal Code for Sub-Agent/ Employee	Employee Unique Identification Number (EUIN)	FOR OFFICE USE ONLY (TIME STAMP)
ARN-						

EUIN Declaration (only where EUIN box is left blank)
I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Sign Here	Sign Here	Sign Here
First/ Sole Applicant/ Guardian/PoA Holder	Second Applicant	Third Applicant

1. EXISTING UNIT HOLDER INFORMATION (IF YOU HAVE EXISTING FOLIO, PLEASE FILL IN SECTIONS viz. 1, 3, 4, 4A, 4B, 6, 8, 10, 12 and 15 ONLY. Refer instruction 2).

Folio No. | Status

The details in our records under the folio number mentioned alongside will apply for this application provided the particulars should match with that in your demat account. In case of mismatch, complete the details in Section 3.

2. MODE OF HOLDING [Please tick (✓)]

☐ Single ☐ Joint ☐ Anyone or Survivor (The particulars provided should match with that in your demat account)

3. DEMAT ACCOUNT DETAILS - Mandatory for investing in this Scheme

NSDL **DP Name** _____ **DP ID** I N **Beneficiary Account No.**

CDSL **DP Name** _____ **Beneficiary Account No.**

Investor shall provide a copy of the DP statement enable us to match the demat details as stated in the application form. Statement of holding of units will be issued only by DPs/ Depositories.

4. MARKET MAKER / LARGE INVESTOR DETAILS (Please tick anyone) ☐ Market Maker ☐ Large Investor

4A. MARKET MAKER DETAILS (Refer instruction 3A)

NAME OF MARKET MAKER

Mr. Ms. M/s.

Nationality | PAN#/ PEKRN#

KYC Number | **KYC #** **[Please tick (✓)] (Mandatory)** ☐ Proof Attached

NAME OF GUARDIAN (in case of First / Sole Applicant is a Minor) / NAME OF CONTACT PERSON – DESIGNATION (in case of non-individual Investors)

Mr. Ms.

Designation

MAILING ADDRESS OF FIRST / SOLE APPLICANT (Mandatory) (Refer Instruction 3B)

CITY | STATE | PIN CODE

OVERSEAS ADDRESS (Mandatory in case of NRIs /FIIs/PIOs/ OCIs) (P. O. Box Address may not be sufficient)

CONTACT DETAILS OF FIRST / SOLE APPLICANT Country Code - Mobile

STD Code STD Code Res. | Telephone No. Office

eAlerts Mobile | eDocs Email of First / Sole holder^ IN CAPITALS

This mobile number belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ POA ☐ Custodian (for FPIs only) ☐ PMS

This email id belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ POA ☐ Custodian (for FPIs only) ☐ PMS

☐ **I hereby declare that I shall immediately notify any change to the mobile number/ email id. (Refer instruction 9)**

☐ **I/ We would like to register for online access to transact on HDFCMFOnline Investors as per the terms & conditions displayed on website: www.hdfcfund.com (Email id mandatory) (only for non individuals and individuals with mode of holding as 'Joint'). Refer Instruction 10.**

^ On providing email-id investors shall receive the scheme wise annual report or an abridged summary thereof/ account statements/ statutory and other documents by email. However, if the investors wish to receive physical copy of the scheme wise annual report or an abridged summary thereof [Please tick (✓)] Opt-in ☐ (Refer Instruction 9 & 10)

4A. MARKET MAKER OTHER DETAILS (Mandatory) (Refer instruction 3)

Status of First/ Sole Applicant [Please tick (✓)] ☐ **Individual** ☐ **Non - Individual*** ***[Please attach FATCA, CRS & Ultimate Beneficial Ownership (UBO) Self Certification Form] (Mandatory) (Refer Instruction 3 & 16)***

☐ Resident Individual ☐ Partnership ☐ Trust ☐ HUF ☐ AOP ☐ PIO ☐ Pvt. Ltd. Company ☐ Public Ltd. Company ☐ BOI ☐ OCI ☐ Body Corporate ☐ LLP

☐ Society/Club ☐ NRI-Repatriation ☐ NRI-Non Repatriation ☐ Foreign National Resident in India ☐ FPI ☐ Sole Proprietorship ☐ Non Profit Organisation ☐ Others (please specify)

LEI No. **Expiry Date:** DD MM YYYY

(Mandatory for Non - Individuals transacting / proposing to transact for an amount of Rs. 50 crores or more)

We are falling under **"Non-Profit Organization" [NPO]** which has been constituted for religious or charitable purposes referred to in clause (23) of section 2 of the Income-tax Act, 2025 (30 of 2025), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).	☐ **YES** ☐ **NO**
If yes, please quote the NPO Registration Number provided by DARPAN portal. *(If not registered already, please register immediately and confirm with the above information. In absence of receipt of the Darpan portal registration details, MF / AMC/ RTA will be required to register your entity on the said portal and/or report to the relevant authorities as applicable.)*	

*-Trusts/Societies/Section 8 Companies to declare NPO.

 # Please attach Proof. Refer instruction No 13 for PAN/PEKRN and No 15a for KYC (KRA). Refer instruction No 15b for KYC Identification Number issued by CKYCR.

October 2026

ACKNOWLEDGEMENT SLIP (To be filled in by the Investor) [For any queries please contact our nearest Investor Service Centre or call us at our Customer Service Number 1800 3010 6767 / 1800 419 7676 (Toll Free)]

Date :

HDFC MUTUAL FUND
Head Office : HDFC House, 2nd Floor, H.T. Parekh Marg, 165-166,
Backbay Reclamation, Churchgate, Mumbai - 400 020.

Application No.:

ISC Stamp & Signature

Received from Mr. / Ms. / M/s. _____

... continued overleaf

4B. LARGE INVESTOR DETAILS (Refer instruction 3B) Name should be in the same sequence as appearing in your demat account. In case of discrepancies, the application is liable to get rejected.

DATE OF BIRTH@ DD MM YYYY | Proof of date of birth@ **Please (✓)** ☐ Attached

NAME OF FIRST / SOLE APPLICANT (In case of Minor, there shall be no joint holders)

Mr. Ms. M/s.

Nationality | PAN#/ PEKRN#

KYC Number | **KYC #** **[Please tick (✓)] (Mandatory)** ☐ Proof Attached

NAME OF GUARDIAN (in case of First / Sole Applicant is a Minor) / NAME OF CONTACT PERSON DESIGNATION (in case of non-individual Investors)

Mr. Ms.

Nationality | Designation | Contact No.

PAN#/ PEKRN# | **DATE OF BIRTH** D D M M Y Y Y Y

KYC Number | **KYC #** **[Please tick (✓)] (Mandatory)** ☐ Proof Attached

Relationship with Minor@ **Please (✓)** ☐ Father ☐ Mother ☐ Court appointed Legal Guardian | Proof of relationship with minor@ **Please (✓)** ☐ Attached @ Mandatory

MAILING ADDRESS OF FIRST / SOLE APPLICANT (Mandatory) (Refer Instruction 3B)

CITY | STATE | PIN CODE

OVERSEAS ADDRESS (Mandatory in case of NRIs /FIIs/PIOs/ OCIs) (P. O. Box Address may not be sufficient)

CONTACT DETAILS OF FIRST / SOLE APPLICANT | Country Code - Mobile

STD Code | STD Code | Res. | Telephone No. Office

eAlerts Mobile | eDocs Email of First / Sole holder^ IN CAPITALS

This mobile number belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ POA ☐ Custodian (for FPIs only) ☐ PMS

This email id belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ POA ☐ Custodian (for FPIs only) ☐ PMS

☐ **I hereby declare that I shall immediately notify any change to the mobile number/ email id. (Refer instruction 10)**

☐ **I/ We would like to register for online access to transact on HDFCMFOnline Investors as per the terms & conditions displayed on website: www.hdfcfund.com (Email id mandatory) (only for non individuals and individuals with mode of holding as 'Joint'). Refer Instruction 10.**

^ On providing email-id investors shall receive the scheme wise annual report or an abridged summary thereof/ account statements/ statutory and other documents by email. However, if the investors wish to receive physical copy of the scheme wise annual report or an abridged summary thereof [Please tick (✓)] Opt-in ☐ **(Refer Instruction 9 & 10)**

4B. LARGE INVESTOR OTHER DETAILS (Mandatory) (Refer instruction 3)

Status of First/ Sole Applicant [Please tick (✓)] ☐ **Individual** ☐ **Non - Individual*** ***[Please attach FATCA, CRS & Ultimate Beneficial Ownership (UBO) Self Certification Form] (Mandatory) (Refer Instruction 3 & 16)***

☐ Resident Individual ☐ Partnership ☐ Trust ☐ HUF ☐ AOP ☐ PIO ☐ Pvt. Ltd. Company ☐ Public Ltd. Company ☐ BOI ☐ OCI ☐ Body Corporate ☐ LLP

☐ Society/Club ☐ NRI-Repatriation ☐ NRI-Non Repatriation ☐ Foreign National Resident in India ☐ FPI ☐ Sole Proprietorship ☐ Non Profit Organisation ☐ Others (please specify)

LEI No. | **Expiry Date:** DD MM YYYY

(Mandatory for Non - Individuals transacting / proposing to transact for an amount of Rs. 50 crores or more)

We are falling under **"Non-Profit Organization" [NPO]** which has been constituted for religious or charitable purposes referred to in clause (23) of section 2 of the Income-tax Act, 2025 (30 of 2025), and is registered as a trust or a society under the Societies Registration Act, 1860 (21 of 1860) or any similar State legislation or a Company registered under the section 8 of the Companies Act, 2013 (18 of 2013).	☐ **YES** ☐ **NO**
If yes, please quote the NPO Registration Number provided by DARPAN portal. *(If not registered already, please register immediately and confirm with the above information. In absence of receipt of the Darpan portal registration details, MF / AMC/ RTA will be required to register your entity on the said portal and/or report to the relevant authorities as applicable.)*	

*-Trusts/Societies/Section 8 Companies to declare NPO.

4C. JOINT APPLICANT DETAILS, If any (Refer instruction 3 A & B) (In case of Minor, there shall be no joint holders)

1. NAME OF SECOND APPLICANT | **DATE OF BIRTH** D D M M Y Y Y Y

Mr. Ms. M/s.

Nationality | PAN#/ PEKRN#

KYC Number | **KYC #** **[Please tick (✓)] (Mandatory)** ☐ Proof Attached

Occupation Details [Please tick (✓)] ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Proprietorship ☐ Others (Please specify) _____

Gross Annual Income in Rs. [Please tick (✓)] ☐ Below 1 lac ☐ 1-5 lac ☐ 5-10 lac ☐ 10-25 lac ☐ 25 lac- 1 cr ☐ > 1 cr

For Individual [Please tick (✓)] ☐ I am Politically Exposed Person ☐ I am Related to Politically Exposed Person ☐ Not Applicable

CONTACT DETAILS OF SECOND APPLICANT

eAlerts Mobile | eDocs Email of First / Sole holder^ IN CAPITALS

This mobile number belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ POA ☐ Custodian (for FPIs only) ☐ PMS

This email id belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ POA ☐ Custodian (for FPIs only) ☐ PMS

2. NAME OF THIRD APPLICANT | **DATE OF BIRTH** D D M M Y Y Y Y

Mr. Ms. M/s.

Nationality | PAN#/ PEKRN#

KYC Number | **KYC #** **[Please tick (✓)] (Mandatory)** ☐ Proof Attached

Occupation Details [Please tick (✓)] ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional ☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Proprietorship ☐ Others (Please specify) _____

Gross Annual Income in Rs. [Please tick (✓)] ☐ Below 1 lac ☐ 1-5 lac ☐ 5-10 lac ☐ 10-25 lac ☐ 25 lac- 1 cr ☐ > 1 cr

For Individual [Please tick (✓)] ☐ I am Politically Exposed Person ☐ I am Related to Politically Exposed Person ☐ Not Applicable

CONTACT DETAILS OF THIRD APPLICANT

eAlerts Mobile | eDocs Email of First / Sole holder^ IN CAPITALS

This mobile number belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ POA ☐ Custodian (for FPIs only) ☐ PMS

This email id belongs to (Mandatory Please ✓): ☐ Self ☐ Spouse ☐ Dependent Children ☐ Dependent Siblings ☐ Dependent Parents ☐ Guardian ☐ POA ☐ Custodian (for FPIs only) ☐ PMS

 # Please attach Proof. Refer instruction No 13 for PAN/PEKRN and No 15a for KYC (KRA). Refer instruction No 15b for KYC Identification Number issued by CKYCR.

Acknowledgement Slip

Scheme Name: _____

Folio No. _____ ☐ Purchase ☐ Redemption | **Cash**

Cheque No _____ Cheque Date _____ Amount Rs. _____ or Units _____

5. POWER OF ATTORNEY (PoA) HOLDER DETAILS

Name of PoA Mr. Ms. M/s.

PAN#/ PEKRN#

KYC Number **KYC #** **[Please tick (✓)] (Mandatory)** ☐ Proof Attached

 # Please attach Proof. Refer instruction No 13 for PAN/PEKRN and No 15a for KYC (KRA). Refer instruction No 15b for KYC Identification Number issued by CKYCR.

6. FATCA AND CRS INFORMATION (for Individual including Sole Proprietor) (Self Certification) (Refer instruction 3B [d])

The below information is required for all applicant(s)/ guardian

Address Type: ☐ **Residential or Business** ☐ **Residential** ☐ **Business** ☐ **Registered Office (for address mentioned in form/existing address appearing in Folio)**

Mandatory

Category	First Applicant/Guardian in case of Minor	Second Applicant/ Guardian	Third Applicant
Place/ City of Birth			
Country of Birth			
Country of Tax Residency#			

Is the applicant(s)/guardian's Country of Birth/Citizenship/ Nationality/Tax Residency other than India? Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below*.	First Applicant/Guardian in case of Minor	Second Applicant/ Guardian	Third Applicant
	☐ Yes ☐ No	☐ Yes ☐ No	☐ Yes ☐ No

If Yes, please provide the following information [mandatory]

Category	First Applicant/Guardian in case of Minor	Second Applicant/ Guardian	Third Applicant
Tax Payer Ref. ID No ^			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 2			
Tax Payer Ref. ID No. 2			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 3			
Tax Payer Ref. ID No. 3			
Identification Type [TIN or other, please specify]			

#To also include USA, where the individual is a citizen/ green card holder of USA. ^ In case Tax Identification Number is not available, kindly provide its functional equivalent.

7. TRANSACTION DETAILS (refer instruction 6)

Scheme Name (Currently there are no Investment Plans/ Options being offered under the Schemes)	**Subscription Mode:** (Please tick any one)		**Redemption Mode*:** (Please tick any one)		**Basket Size**	**No. of Units**
☐ **HDFC NIFTY BANK ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	12,500 ETF Units	
☐ **HDFC NIFTY 50 ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	20,000 ETF Units	
☐ **HDFC BSE SENSEX ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	50,000 ETF Units	
☐ **HDFC NIFTY 100 ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	3,00,000 ETF Units	
☐ **HDFC NIFTY NEXT 50 ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	50,000 ETF Units	
☐ **HDFC NIFTY100 QUALITY 30 ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	50,000 ETF Units	
☐ **HDFC NIFTY200 MOMENTUM 30 ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	50,000 ETF Units	
☐ **HDFC NIFTY100 LOW VOLATILITY 30 ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	75,000 ETF Units	
☐ **HDFC NIFTY GROWTH SECTORS 15 ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	20,000 ETF Units	
☐ **HDFC NIFTY50 Value 20 ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	7,500 ETF Units	
☐ **HDFC NIFTY PRIVATE BANK ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	25,000 ETF Units	
☐ **HDFC NIFTY IT ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	1,00,000 ETF Units	
☐ **HDFC BSE 500 ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	4,15,000 ETF Units	
☐ **HDFC NIFTY Midcap 150 ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	3,50,000 ETF Units	
☐ **HDFC NIFTY Smallcap 250 ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	35,000 ETF Units	
☐ **HDFC NIFTY PSU Bank ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	10,000 ETF Units	
☐ **HDFC Nifty Metal ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	10,000 ETF Units	
☐ **HDFC FTSE India Equity ETF**	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	2,00,000 ETF Units	
☐ **HDFC GOLD ETF****	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	1,20,000 ETF Units	
☐ **HDFC SILVER ETF****	☐ Cash	☐ Portfolio	☐ Cash	☐ Portfolio	30,000 ETF Units	
☐ **HDFC NIFTY 1D Rate Liquid ETF - Growth**	☐ Cash		☐ Cash		2,500 ETF Units	

No. of Units (in words) _____

Transaction amount (in Rs.) _____ Transaction amount (in words.) _____

RRN Number: _____

*For redemption, attach copy of RRN for transfer of units to AMC A/c (Mandatory)

****For HDFC Gold ETF and HDFC Silver ETF, the AMC will accept Portfolio Deposit (i.e. physical gold/ silver) only from a Market Makers and NOT from Large Investors.**

For HDFC Gold ETF/ HDFC Silver ETF **Portfolio Deposit of Gold/ Silver (Kgs):** ☐☐☐☐ **(applicable only for subscription by Market Makers. Refer instruction 6)**

Authorised Dealer

Shipper

The redemption price will be determined based on the proceeds of the underlying Portfolio Securities sold by the AMC on behalf of Market Makers/ Large Investor after adjusting necessary charges/ costs, if any.

I/ We want to receive the redemption/ IDCW amount by way of a cheque instead of direct credit/ credit through NEFT system or RTGS into my/ our bank account. ☐

8. BANK ACCOUNT DETAILS OF THE FIRST / SOLE APPLICANT (For redemption/ IDCW if any) (refer instruction 5)

Mandatory information – If left blank the application is liable to be rejected. (Mandatory to attach proof, in case the pay-out bank account is different from the source bank account.)

Mandatory

Bank Name

Branch Name | Bank City

Account Number

Account Type (Please ✓) ☐ Savings ☐ Current ☐ NRO ☐ NRE ☐ FCNR ☐ Others (please specify) _____

IFSC Code | MICR Code

Enclosed (Please ✓): ☐ Bank Account Details Proof Provided.

9. Tax Status (Applicable For New ETF Investors Only) ☐ Individual ☐ NRI ☐ Company ☐ Trust ☐ Others (please specify) _____

10. CASH COMPONENT (refer instruction 8)

Incase of Subscription/ Redemption by way of Portfolio Deposit kindly fill the following details:

Cash Component ☐ Payable ☐ Receivable

Cash Component per Creation Unit (Rs.) _____ (in words)

Total Cash Component (Rs.) _____ (in words)

The AMC will remit/ collect the Cash Component i.e. the difference between Net Assets Value (NAV) and the closing market price of the basket of securities of the underlying index as at the end of previous business day.

11. INVESTMENT AND PAYMENT DETAILS (refer instruction 6) Fill in Section 10 for Cash Component

Payment Type [Please (✓)] ☐ **Non-Third Party Payment**

Drawn on Bank / Branch	Pay-In Bank Account No. (For Cheque Only)	Cheque/ Payment Instrument/ UTR No.	Cheque/ Payment Instrument/ UTR Date	Amount of Cheque / Payment Instrument / RTGS/ NEFT in figures (Rs.)	Net Cheque Amount

Cheque Amount (in words):

Mode of Payment [Please (✓)] ☐ RTGS ☐ NEFT ☐ Funds Transfer Letter ☐ Transfer Cheque

Investor is requested to make the payment of requisite cash as determined by HDFC AMC in the following account;

Account Type (Please ✓) ☐ Savings ☐ Current ☐ NRO ☐ NRE ☐ FCNR ☐ Others (please specify) _____

with_____ (Bank) to your account no._____

with_____ (Bank).

Name of Bank- HDFC Bank Limited **Branch:** Manekji Wadia Building, Ground Floor, Nanik Motwani Marg, Fort, Mumbai

- Transfer Cheque should be drawn in favour of "HDFC Scheme Name - Collection A/c (PAN)" or "HDFC Scheme Name - Collection A/c (First Investor Name)" (refer instruction 6a)
- For HDFC Gold ETF and HDFC Silver ETF, Portfolio deposit/ withdrawal will have to be executed in physical form through the Cutodian (Deutsche A.G.).
- Please write Transaction No. on the reverse of the Transfer Cheque.
- The investors are requested to note that the Units will be credited into the Investor's Depository Participant account only on receipt of Cash Component and transaction handling charges, if any.
- Allotment of Units will be subject to execution of / up to number of Creation Units applied for.

12. INVESTMENT AND PAYMENT DETAILS (refer instruction 7) Fill in Section 10 for Cash Component

Beneficiary Name	Account No.	RTGS/ NEFT IFSC Code
☐ HDFC NIFTY Bank ETF Collection A/c	57500000533239	HDFC0000060
☐ HDFC NIFTY 50 ETF Post NFO Collection A/c	00600350142831	HDFC0000060
☐ HDFC BSE Sensex ETF Post NFO Collection A/c	00600350142814	HDFC0000060
☐ HDFC Gold ETF - Post NFO Collection A/C	00600350085881	HDFC0000060
☐ HDFC Silver ETF - Post NFO Collection A/C	57500000873243	HDFC0000060
☐ HDFC HDFC NIFTY 100 ETF - Post NFO Collection A/C	57500000853686	HDFC0000060
☐ HDFC NIFTY Next 50 ETF - Post NFO Collection A/C	57500000854535	HDFC0000060
☐ HDFC NIFTY100 Quality 30 ETF - Post NFO Collection A/C	57500000853723	HDFC0000060
☐ HDFC NIFTY200 Momentum 30 ETF - Post NFO Collection A/C	57500000854551	HDFC0000060
☐ HDFC NIFTY100 Low Volatility 30 ETF - Post NFO Collection A/C	57500000854574	HDFC0000060
☐ HDFC NIFTY Growth Sectors 15 ETF - Post NFO Collection A/C	57500000854459	HDFC0000060
☐ HDFC NIFTY50 Value 20 ETF - Post NFO Collection A/C	57500000854268	HDFC0000060
☐ HDFC NIFTY Private Bank ETF - Post NFO Collection A/C	57500000854512	HDFC0000060
☐ HDFC NIFTY IT ETF - Post NFO Collection A/C	57500000854522	HDFC0000060
☐ HDFC BSE 500 ETF - Post NFO Collection A/C	57500001152220	HDFC0000060
☐ HDFC NIFTY Midcap 150 ETF - Post NFO Collection A/C	57500001152641	HDFC0000060
☐ HDFC NIFTY Smallcap 250 ETF - Post NFO Collection A/C	57500001152204	HDFC0000060
☐ HDFC NIFTY PSU Bank ETF - Post NFO Collection A/C	57500001410735	HDFC0000060
☐ HDFC Nifty Metal ETF - Post NFO Collection A/C	57500001152141	HDFC0000060
☐ HDFC FTSE India Equity ETF - Post NFO Collection A/C	57500001095681	HDFC0000060
☐ HDFC NIFTY 1D Rate Liquid ETF - Growth - Post NFO collection A/C	57500001223170	HDFC0000060

DP details of the Scheme for Subscription in Portfolio Deposit

Beneficiary Name	Beneficiary ID	DP Name	DP ID
☐ HDFC TRUSTEE CO LTD A/C HDFC NIFTY BANK ETF	10011141	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC NIFTY 50 ETF	10011385	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC BSE SENSEX ETF	10011264	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC NIFTY 100 ETF	10011248	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC NIFTY Next 50 ETF	10011344	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC NIFTY100 Quality 30 ETF	10011352	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC NIFTY200 Momentum 30 ETF	10011213	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC NIFTY100 Low Volatility 30 ETF	10011205	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC NIFTY Growth Sectors 15 ETF	10011184	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC NIFTY50 Value 20 ETF	10011168	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC NIFTY Private Bank ETF	10011297	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC NIFTY IT ETF	10011272	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC BSE 500 ETF	10011117	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC NIFTY Midcap 150 ETF	10011221	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC NIFTY Smallcap 250 ETF	10011328	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC NIFTY PSU Bank ETF	10011971	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC Nifty Metal ETF	10016121	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786
☐ HDFC TRUSTEE CO LTD A/C HDFC FTSE India Equity ETF	10016445	SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.	IN303786

13. NOMINATION (Refer instruction 12) The Nomination details will be as provided in your demat account.

14. RESOLUTION OF DISPUTES (For Institutional or corporate clients) (Refer instruction 18)

☐ Smart ODR **OR** ☐ by harnessing any independent institutional mediation, conciliation and/or online arbitration institution in India

15. DECLARATION & SIGNATURE/S (refer instruction 11)

I / We have read, understood the terms and conditions of the scheme related documents and the addendum issued therein till date, Key Information Memorandum of the Schemes as well as the rules and regulations of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable to me/us from time to time and agree to comply with the same as a Unitholder. I /We hereby apply to the Trustees for allotment of Units of the Scheme(s) of HDFC Mutual Fund ('Fund') and confirm and declare as under:

(a) I/We am/are eligible Investor(s) as per the scheme related documents and not prohibited from accessing capital markets by any order/ruling / judgment etc. passed by SEBI/ Statutory Authority or Courts in India and Foreign laws. I am/We are authorised to make this investment as per the Constitutive documents/ authorization(s). The amount invested in the Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India.

(b) I / We will be bound by the Fund's terms and conditions as amended from time to time.

(c) The information given by me /us in or along with this application form is true and correct and I/we shall furnish such other further/additional information as may be required by the HDFC Asset Management Company Limited (AMC)/ Fund. I/We undertake to promptly inform the AMC / Fund/Registrars and Transfer Agent (RTA) in writing about any change in the information furnished by me/us from time to time.

(d) I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to the Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasijudicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us.

(e) I/We hereby consent for providing transactions data feed, portfolio holdings, NAV etc. in respect of my/our transactions under Direct Plan to the RIA/Portfolio Managers/ Stock Broker registered in the concerned folio, if applicable.

(f) I/We shall be liable and responsible for any loss, claims suffered, directly or indirectly by AMC/ Fund/ RTA/ SEBI Intermediaries, arising out of any false, misleading, inaccurate and incomplete information furnished by me/us at the time or investing/redeeming the units. I/We hereby unconditionally and irrevocably indemnify and at all time keep indemnified, save and harmless AMC/Fund/Trustee and their officers, directors and employees against all actions, proceedings, claims, losses, damages, charges and expenses incurred or suffered /paid by AMC/Fund in this regard and in case of any dispute regarding the eligibility, validity and authorization of my/our transactions.

(g) The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

(h) I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/ COMMUNICATED ANY INDICATIVE PORTFOLIO AND/ OR ANY INDICATIVE YIELD BY THE FUND/AMC/ITS DISTRIBUTOR FOR THIS INVESTMENT.

Consent for Telemarketing (Refer Instruction 17):

I/We hereby accord my/our consent to HDFC AMC for receiving the promotional information/ material via email, SMS, telemarketing calls etc. on the mobile number and email provided by me/us in this Application Form.

Consent for disclosure of Personal Information in terms of Privacy Policy

I/We hereby confirm to have read, understood and agree to the terms of Privacy Policy (available on https://www.hdfcfund.com) ("Policy") of HDFC AMC/ Fund.

I/We hereby accord my/our consent to HDFC AMC/Fund for collecting, receiving, possessing, storing, dealing, handling or disclosure of my/ our Personal Data and hereby authorize to disclose it to the third party or another body corporate or any person acting under a lawful contract with HDFC AMC, in accordance with the Privacy Policy.

For Foreign Nationals Resident in India only:

I/We will redeem my/our entire investment/s before I/We change my/our Indian residency status. I/We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

For NRIs/ PIO/ OCIs/ FPIs only:

I/We confirm that my application is in compliance with applicable Indian and foreign laws.

For NRIs/ PIO/OCIs Please (✓) ☐ Repatriation basis ☐ Non-repatriation basis

SIGN HERE (Please write Application Form No. / Folio No. on the reverse of the Cheque / Demand Draft / Payment Instrument.)	First / Sole Applicant / Guardian	Second Applicant	Third Applicant

CHECKLIST

☞ Please ensure that your Application Form is complete in all respect and signed by all applicants:

- Name, Address and Contact Details are mentioned in full. • Status of First/Sole Applicant is correctly indicated. • Bank Account Details are entered completely and correctly.
- Permanent Account Number (PAN) of all Applicants is mentioned irrespective of the amount of purchase and proof attached (if not already validated) OR PAN Exempt KYC Reference Number (PEKRN) in case of PAN exempt investment.
- Please attach proof of KYC Compliance status if not already validated. • Appropriate Plan / Option is selected.
- If units are applied by more than one applicant, Mode of Operation of account is indicated.

☞ Your investment Cheque is drawn in favour of **'the Specific Scheme A/c PAN' or 'the Specific Scheme A/c Investor Name'** dated, signed and crossed 'A/c Payee only'. Application Number / Folio No. is mentioned on the reverse of the Cheque.

☞ Documents as listed below are submitted along with the Application Form (as applicable to your specific case).

	Documents	**HUF**	**Individuals/ Sole Proprietary Firms**	**Companies/ Trusts/ Societies/ Partnership Firms/ LLP**	**FPI@@**	**NRI/ OCI/ PIO**	**Minor**	**Investments through Constituted Attorney**
1.	Board/ Committee Resolution/ Authority Letter			✓				
2.	List of Authorised Signatories with Specimen Signature(s) @			✓	✓			✓
3.	Notarised Power of Attorney						✓	
4.	Account Debit Certificate in case payment is made by from NRE / FCNR A/c. where applicable				✓			
5.	PAN Proof		✓	✓	✓	✓	✓#	✓
6.	KYC Acknowledgement Letter / Print out of KYC Compliance Status downloaded from CDSL Ventures Ltd. website (www.cvlindia.com)		✓	✓	✓	✓	✓#	✓
7.	Proof of Date of Birth						✓	
8.	Proof of Relationship with Guardian (where Minor is Investor or Nominee)						✓	
9.	PIO / OCI Card (as applicable)					✓		
10.	Certificate of registration granted by Designated Depository Participant on behalf of SEBI				✓			
11.	Ultimate Beneficial Owner	✓		✓	✓			✓
12.	FATCA & CRS	✓	✓	✓	✓	✓	✓	✓

@ Should be original or true copy certified by the Director / Trustee / Company Secretary / Authorised Signatory / Notary Public, as applicable.

@@ As per prevailing SEBI (FPI) Regulations, 2019, FPIs can invest in Indian Securities only through Stock Broker and in demat mode only. # If PAN/PEKRN/KYC proof of Minor is not available, PAN/PEKRN/KYC proof of Guardian should be provided.

INSTRUCTIONS

1. General Instructions

Please read the Key Information Memorandum/ Scheme Information Document of the Scheme and Statement of Additional Information and addenda issued from time to time (Scheme Documents) carefully before investing in the Scheme.

Upon signing and submitting the Application Form and tendering payment it will be deemed that the investors have accepted, agreed to and shall comply with the terms and conditions detailed in the Scheme Documents. Applications complete in all respects, may be submitted at the Official Points of Acceptance of HDFC Mutual Fund (the Fund).

The Application Form should be completed in **ENGLISH** and in **BLOCK LETTERS** only. Please tick in the appropriate box for relevant options wherever applicable. Do not overwrite. For any correction / changes if made in the Application Form, the Applicant(s) shall enter the correct details pursuant to cancellation of incorrect details and authenticate the corrected details by counter-signing against the changes.

Applications completed in all respects, must be submitted at the Investor Service Centres (ISCs) of HDFC AMC.

All Investors (Market Makers, Large Investors and Other Investors), can buy/sell units on a continuous basis on the National Stock Exchange of India Ltd. (NSE) and BSE Limited (BSE).

The Application Form number / Folio number should be written by the Investors on the reverse of the cheques and bank drafts accompanying the Application Form. Applications incomplete in any respect are liable to be rejected. HDFC Asset Management Company Limited (the AMC)/ HDFC Trustee Company Limited (Trustee) have absolute discretion to reject any such Application Forms.

Copies of the supporting documents submitted should be accompanied by originals for verification. In case the original of any document is not produced for verification, Mutual Fund/ AMC reserves the right to seek attested copies of the supporting documents.

Investments through distributors

As per directions of Securities and Exchange Board of India (SEBI), Investors can route their application forms directly and /or through the distributors /employees of the distributor who hold a valid certification from the National Institute of Securities Markets (NISM) and ARN provided by Association of Mutual Funds in India (AMFI). Further, no agents / distributors are entitled to sell units of mutual funds unless the intermediary is registered with AMFI.

In case SEBI Registered stock brokers/non-individual Investment Advisors (IA)/Portfolio Managers are offering distributor services to their clients, they can offer only Regular Plans for that client using their distributor code/AMFI Registration Number (ARN). Accordingly, they will have visibility of their client's transaction data feeds only for such plans.

Investment in direct plan through stock broker/non-individual IA/PMS:

In case SEBI Registered stock brokers/non-individual Investment Advisors/Portfolio Managers are offering advisory service to their clients, they can execute/invest only in direct plans of Mutual Funds for that client using their SEBI Registration Numbers as Broker/RIA/PMS code. Accordingly, they will have visibility of their client's transaction data feeds only for such plans.

Employee Unique Identification Number (EUIN)

Every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. Investors are requested to verify the AMFI registration details from their Distributor. However, in case of any exceptional cases, where there is no interaction by the employee/ sales person/relationship manager of the distributor/sub broker with respect to the transaction and EUIN box is left blank, you are required to provide the duly signed declaration to the effect as given in the form.

These requirements do not apply to Overseas Distributors.

New Cadre Distributors

Postal agents, retired government and semi-government officials (class III and above or equivalent), retired teachers and retired bank officers (all such retired persons with at least 10 years of service) and other similar persons (such as Bank correspondents) as may be notified by AMFI/ the AMC from time to time as new cadre distributors are permitted to sell eligible schemes of the Fund (details of eligible scheme is available on www.hdfcfund.com). They also hold an EUIN which must be quoted in the application form. In case your application for subscription through such distributor is not for an eligible scheme, it is liable to be rejected.

These requirements do not apply to Overseas Distributors

Overseas Distributors

For, overseas Distributors, the ARN Code provided by AMFI is required to be incorporated in the space provided. Overseas Distributors are required to comply with the laws, rules and regulations of jurisdictions where they carry out their operations in the capacity of distributors.

2. Existing Unit holder information

Investors already having an account in any of HDFC Mutual Fund Schemes should provide their Folio Number in **Section 1** and proceed to **Section 3, Section 4, Section 4A, Section 4B, Secton 6, Section 8, Section 10, Section 12** and **Section 15** only. The personal details and Bank Account details as they feature in the existing folio would apply to this investment provided these details match with that in your demat account. The details in your demat account would prevail over any conflicting information furnished in this form. Unit holders' name should be in the same sequence as appearing in your demat account, failing which the Application Form is liable to be rejected.

3. Unit holder information

3A. MARKET MAKER (MM) DETAILS

Name must be written in full and should be the same as mentioned in the documents executed with AMC for being appointed as an MM.

The applicant(s) details mentioned in **Section 4** and **Section 4A** should be the same as appearing in demat account held with a Depository Participant.

3B LARGE INVESTORS (LI) DETAILS

a. Name, address and contact details like telephone, mobile and email address must be written in full. On successful validation of the contact details (mobile number and email id), as per AMFI Guidelines, the same will be registered in the folio. On successful validation of the investor's PAN for KYC, the address provided in the KYC form will override the address mentioned in this form.

Applications under a Power of Attorney or by a limited company or a body corporate or an eligible institution or a registered society or a trust or limited liability partnership (LLP) or partnership must be accompanied by the original Power of Attorney/ board resolution or a certified true copy/duly notarized copy of the same. Authorised officials should sign the Application Form under their official designation. A list of specimen signatures of the authorised officials, duly certified / attested should also be attached to the Application Form.

All communication and payments shall be made by the Fund in the name of and favouring the first/ sole applicant. In case of applications made in joint names without indicating the mode of holding, mode of holding will be deemed as 'Joint' and processed accordingly.

The applicant(s) details mentioned in **Section 3** should be the same as appearing in demat account held with a Depository Participant

b. In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, the additional details viz. Occupation details, Gross Annual Income/networ th and Politically Exposed Person (PEP)* status mentioned under section 6 which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the detail of nature of services viz. Foreign Exchange/ Gaming/ Money Lending, etc., (applicable for first/sole applicant) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.

The said details are mandatory for both Individual and Non Individual applicants.

**Politically Exposed Persons" (PEPs) are individuals who have been entrusted with prominent public functions by a foreign country, including the heads of States or Governments, senior politicians, senior government or judicial or military officers, senior executives of state-owned corporations and important political party officials. PEPs shall include the family members or close relatives / associates of PEPs.*

c. Accounts of Minors

The minor shall only be the sole Unit holder in a folio. Joint holding is not allowed. Details of the natural parent viz., father or mother or court appointed legal Guardian must be mentioned for investments made on behalf of a minor.

Date of birth of the minor along with photocopy of supporting documents (i.e. Birth certificate, School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., Passport, or any other suitable proof evidencing the date of birth of the minor) should be provided while opening the folio. In case of a natural parent, documents evidencing the relationship of the natural parent with the minor, if the same is not available as part of the documents mentioned above should be submitted. In case of court appointed legal guardian, supporting documentary evidence should be provided.

The folio(s) held on behalf of a minor Unit holder shall be frozen for operation by the natural parent/legal guardian on the day the minor attains majority and no transactions henceforth shall be permitted till requisite documents evidencing change of status from 'minor' Unit holder are received.

d. Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 238 to 240, as part of the Income tax Rules, 2026, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the folio(s) or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information. If you have any questions about your tax residency, please contact your tax advisor. Further if you are a Citizen or resident or green card holder or tax resident other than India, please include all such countries in the tax resident country information field along with your Tax Identification Number or any other relevant reference ID/ Number. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

e. Who cannot invest?

1. United States Person (U.S. person*) as defined under the extant laws of the United States of America, except the following:

   a. NRIs/ PIOs may invest/ transact, in the Scheme, when present in India, as lump sum subscription , switch transaction , redemption , including registration of systematic transactions only through physical form and upon submission of such additional documents/ undertakings, etc., as may be stipulated by AMC/ Trustee from time to time and subject to compliance with all applicable laws and regulations prior to investing in the Scheme.

   b. FPIs may invest in the Scheme as lump sum subscription and/or switch transaction (other than systematic transactions) through submission of physical form in India, subject to compliance with all applicable laws and regulations and the terms, conditions, and documentation requirements stipulated by the AMC/Trustee from time to time, prior to investing in the Scheme.

   The Trustee/AMC reserves the right to put the transaction requests received from such U.S. person on hold/reject the transaction request/redeem the units, if allotted, as the case may be, as and when identified by the AMC that the same is not in compliance with the applicable laws and/or the terms and conditions stipulated by Trustee/AMC from time to time. Such redemptions will be subject to applicable taxes and exit load, if any.

   The physical application form(s) for transactions (in non-demat mode) from such U.S. person will be accepted ONLY at the Investor Service Centres (ISCs) of HDFC Asset Management Company Limited (HDFC AMC). Additionally, such transactions in physical application form(s) will also be accepted through Distributors and other platforms subject to receipt of such additional documents/undertakings, etc., as may be stipulated by AMC/ Trustee from time to time from the Distributors/Investors.

2. Residents of Canada;

3. Investor residing in any Financial Action Task Force (FATF) designated High Risk jurisdiction.

*The term "U.S. person" means any person that is a U.S. person within the meaning of Regulation S under the Securities Act of 1933 of U.S. or as defined by the U.S. Commodity Futures Trading Commission or as per such further amended definitions, interpretations, legislations, rules etc, as may be in force from time to time.

4. DEMATERIAIIZATION

The Applicant intending to invest in the Scheme will be required to have a beneficiary account with a Depository Participant (DP) of the NSDL/ CDSL and will be required to mention in the Application Form DP ID No. and Beneficiary Account No. with the DP at the time of purchasing units. Applicants must ensure that the sequence of names as mentioned in the Application Form in **Sections 2, Section 3** match with that of the account held with the Depository Participant. Names, Address, PAN details etc. mentioned in the Application Form will be verified against the Depository data. Only those applications where the details are matched with the depository data, will be treated as valid applications. **If the details mentioned in the application are incomplete/incorrect, not matched with the depository data, the application shall be treated as invalid and shall be liable to be rejected.** The units of the Scheme will be issued, traded and settled compulsorily in dematerialized (electronic) form.

Account statement i.e. statement of holding/CAS for units held in demat mode will be issued by Depository Participant / Depositories only.

5. BANK DETAILS

A. Bank Account Details (For redemption/ IDCW if any):

If an investor has a folio generated by the AMC (even for units held in demat mode), the redemption proceeds shall be credited to the bank account registered in the folio of the investor. Otherwise, the redemption proceeds shall be credited to the bank account linked to his demat account. Units will be redeemed on First In First Out (FIFO) basis. Redemption will not be processed if PAN is not updated in non-PAN exempt folios. Redemption may also not be processed if KYC compliant status is not updated in the folio.

An investor at the time of purchase of units must provide the details of the pay-out bank account (i.e. account into which redemption / IDCW proceeds are to be paid) in **Section 8** in the Application Form. The same is mandated to be provided under SEBI Regulations.

In case pay-out bank account is **different** from pay-in bank account mentioned under **Section 8** in the Application Form, the investor subscribing under a new folio is required to submit **any one** of following as a documentary proof alongwith the application form validating that **pay-out bank account** pertain to the sole / first Applicant.

In case of folios held on behalf of a minor, the pay out bank account should be held in the name of the minor or minor with guardian in the folio. Once the bank account is registered in the folio, it can be used for both pay-out and pay-in purposes

(i) Cancelled **original** cheque leaf of the pay-out bank account (where the account number and first applicant name is printed on the face of the cheque). Applicants should without fail cancel the cheque and write 'Cancelled' on the face of it to prevent any possible misuse;

(ii) Self attested copy of the bank pass book or a statement of bank account with current entries not older than 3 months having the name and address of the first applicant and account number;

(iii) A letter from the bank on its letterhead certifying that the applicant maintains an account with the bank, the bank account information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available).

Note: The above documents shall be submitted in Original. If copies are furnished, the same must be submitted at the Official Point of Acceptance (OPAs) of the Fund where they will be verified with the original documents to the satisfaction of the Fund. The original documents will be returned across the counter to the applicant after due verification. In case the original of any document is not produced for verification, then the copies should be attested by the bank manager with his / her full signature, name, employee code, bank seal and contact number.

Further, in exceptional cases where Third Party Payments [as stated under **instruction 8** below] are accepted, the investor is required to submit any one of the documentary proofs as stated in (I), (ii) and (iii) above for the pay-out bank account. Investors are requested to note that applications for new folio creation submitted (wherein pay-out bank details is different from pay-in bank details) without any of the above mentioned documents relating to pay-out bank account details will be treated as invalid and liable to be rejected.

B. Multiple Bank Account Registration:

An investor may register multiple bank accounts (currently upto 5 for Individuals and 10 for Non – Individuals) for receiving redemption/ proceeds etc. by providing necessary IDCW documents and filing up of Multiple Bank Accounts Registration form.

C. Indian Financial System Code (IFSC)

IFSC is a 11 digit number given by some of the banks on the cheques. IFSC will help to secure transfer of redemption and IDCW payouts via the various electronic mode of transfers that are available with the banks.

6. INVESTMENT AND PAYMENT DETAILS

There are no investment Plans/Options being offered under the Scheme.

However, the Trustee reserve the right to introduce investment plans/options under the Scheme at a future date in accordance with SEBI (Mutual Funds) Regulations, 2026.

- **Cash Subscription (i.e. Subscription of Creation Unit by paying the Subscription amount to the Fund)**

(i) The Investor will have to calculate the amount payable for Subscription applications based on latest available NAV of the Scheme. In addition to NAV, the Investor should also give due consideration to costs of purchasing the basket of securities, market movement from the time of application to actual purchase of securities by the Fund etc. Any excess amount paid on application will be refunded to the Investor on allotment of Units. All cheques/pay orders should be drawn in favour of "HDFC Scheme Name A/c PAN" or "HDFC Scheme Name A/c Investor Name" and crossed "Account Payee Only". No out station cheques will be accepted.

(ii) In case of cash Subscription, Investors can also directly transfer the Subscription amount to the Scheme's cash Subscription/ Redemption account, details of which are mentioned under **Section 10** of the Application cum Transaction form.

(iii) The Creation Unit will be subject to transaction handling charges incurred by the Fund/AMC. Such transaction handling charges shall be recoverable from the transacting Market Maker or Large Investor. No kind of credit facility would be extended during creation of units. The Fund may from time to time change the size of the Creation Unit in order to equate it with marketable lots of the underlying instruments

- **Pay-In Bank Account**

An investor at the time of his/her purchase of units must provide the details of his / her pay-in bank account (i.e. account from which a subscription payment is being made) in **Section 11** in the Application Form. In case of minors, subscription payment must be made from a bank account of the minor/ minor with guardian in the folio. Please write Cheque/ Payment Instrument in favour of 'HDFC Scheme Name A/c PAN' or 'HDFC Scheme Name A/c Investor Name'.

- **Resident Investors**

(a) For Investors having a bank account with HDFC Bank Limited or such banks with whom the AMC may have an arrangement from time to time:

Payment may be made for subscription to the Units of the Scheme either by issuing a cheque drawn on such banks or by giving a debit mandate to their account with a branch of HDFC Bank Limited situated at the same location as the ISC or such other banks with whom the AMC may have an arrangement from time to time.

(b) For other Investors not covered by (a) above:

Payment may be made by cheque or bank draft drawn on any bank, which is a member of the Bankers' Clearing House and is located at the place where the application is submitted. No money orders, post-dated cheques and postal orders will be accepted. No out station cheques will be accepted. Bank charges for outstation demand drafts will be borne by the AMC and will be limited to the bank charges stipulated by the State Bank of India. Outstation Demand Draft has been defined as a demand draft issued by a bank where there is no ISC available for Investors.

The AMC will not accept any request for refund of demand draft charges.

- **NRIs, PIOs, OCIs, FPI**

- In the case of NRIs/PIOs/OCIs, payment may be made either by inward remittance through normal banking channels or out of funds held in the NRE / FCNR in the case of Purchases on a repatriation basis or out of funds held in the NRE / FCNR / NRO account, in the case of Purchases on a non-repatriation basis. In case Indian rupee drafts are purchased abroad or payments from FCNR or NRE accounts, an account debit certificate from the Bank issuing the draft confirming the debit and/or foreign inward remittance certificate (FIRC) by Investor's banker shall also be enclosed.
- FPIs shall pay their subscription either by inward remittance through normal banking channels or out of funds held in Foreign Currency Account or Special Non-Resident Rupee Account maintained by the FPI with a designated branch of an authorised dealer.

In order to prevent frauds and misuse of payment instruments, the investors are mandated to make the payment instrument i.e. cheque, demand draft, pay order, etc. favouring either of the following given below and crossed "Account Payee only". Investors are urged to follow the order of preference in making the payment instrument favouring as under:

1. 'HDFC Scheme Name A/c Permanent Account Number' or
2. 'HDFC Scheme Name A/c First Investor Name'

e.g. The cheque should be drawn in favour of "HDFC Scheme Name A/c ABCDE1234F" OR "HDFC Scheme Name A/c Bhavesh Shah". Returned cheque(s) are liable not to be presented again for collection, and the accompanying Application Form is liable to be rejected. In case the returned cheque(s) are presented again, the necessary charges are liable to be debited to the Investor.

- **Subscription through RTGS/NEFT:**

The investor has to place a RTGS / NEFT request with his bank from where the funds are to be paid and submit the bank acknowledged copy of request letter with the application form and mention on the application form the UTR (Unique Transaction Reference) Number which is generated for their request by the bank.

RTGS/NEFT request is subject to the RBI regulations and guidelines governing the same. The AMC/Fund shall not be liable for any loss arising or resulting from delay in credit of funds in the Fund/Scheme collection account.

- **Portfolio Deposit Subscription (i.e. Subscription of Creation Unit in exchange of Portfolio Deposit)**

Market Maker and Large Investors can also subscribe units of the Scheme directly with the fund in creation unit size against the exchange of Portfolio Deposit and Cash Component. The requisite Securities constituting the Portfolio Deposit have to be transferred to the Fund's Depository Participant account while the Cash Component has to be paid to the Custodian/AMC. On confirmation of the same by the Custodian/AMC, the AMC will create and transfer the equivalent number of Units of the Scheme into the Investor's Depository Participant account and pay/ recover the Cash Component and transaction handling charges, if any. The details of Fund's DP account are mentioned under **Section 12** of the Application cum Transaction form.

7. Mode of Payment of Redemption/ IDCW Proceeds-via Direct Credit / NEFT

- **Cash Redemption (i.e. Redemption of Creation Unit in exchange of cash)**

The Fund allows Cash Redemption of the Units of the Scheme in Creation Unit size by Large Investors/ Market Maker. Such Investors shall make Redemption request to the Fund/AMC whereupon the Fund/AMC will arrange to sell underlying portfolio Securities on behalf of the Investor. Accordingly, the sale proceeds of portfolio Securities, after adjusting the Cash Component and transaction handling charges will be remitted to the Investor.

- **Portfolio Deposit Redemption (i.e. Redemption of Creation Unit in exchange of Portfolio Deposit)**

  The Fund allows Redemption of the Units of the Scheme in Creation Unit size by Large Investors/ Market Maker in exchange of portfolio deposit. The requisite number of Units of the Scheme equivalent to the Creation Unit has to be transferred to the Fund's Depository Participant account and the Cash Component to be paid to the AMC/Custodian. On confirmation of the same by the AMC, the AMC will transfer the Portfolio Deposit to the Investor's Depository Participant account and pay/recover the Cash Component and transaction handling charges, if any. The details of Fund's DP account are mentioned under **Section 12** of the Application cum Transaction form.

- **Real Time Gross Settlement (RTGS)/National Electronic Funds Transfer (NEFT)**

  The AMC provides the facility of 'Real Time Gross Settlement (RTGS)' and 'National Electronic Funds Transfer (NEFT)' offered by Reserve Bank of India (RBI), which aims to provide credit of redemption and IDCW payouts (if any) directly into the bank account of the Unit holder maintained with the banks (participating in the RTGS/ NEFT System).

  NEFT is electronic fund transfer modes that operate on a deferred net settlement (DNS) basis which settles transactions in batches. Contrary to this, in RTGS, transactions are processed continuously throughout the RTGS business hours. The minimum amount to be remitted through RTGS is Rs. 2 lakhs. There is no upper ceiling for RTGS transactions. No minimum or maximum stipulation has been fixed for NEFT transactions.

  Unit holders can check the list of banks participating in the RTGS / NEFT System from the RBI website i.e. www.rbi.org.in or contact any of our Investor Service Centres. However, in the event of the name of Unit holder's bank not appearing in the 'List of Banks participating in RTGS/ NEFT updated on RBI website www.rbi.org.in, from time to time, the instructions of the Unit holder for remittance of redemption/ IDCW (if any) proceeds via RTGS / NEFT System will be discontinued by Fund / AMC without prior notice to the Unit holder and the payouts of redemption / IDCW (if any) proceeds shall be effected by sending the Unit holder(s) a cheque / demand draft.

  For more details on RTGS / NEFT or for frequently asked questions (FAQs) on RTGS/ NEFT, Unit holders are advised to visit the RBI website www.rbi.org.in/Fund website www.hdfcfund.com

- **Direct Credit**

  The AMC has entered into arrangements with eleven banks to facilitate direct credit of redemption and IDCW proceeds (if any) into the bank account of the respective Unit holders maintained with any of these banks. These banks are: Axis Bank Ltd., Citibank N.A., Deutsche Bank AG, HDFC Bank Limited, The Hongkong and Shanghai Banking Corporation, ICICI Bank Limited, IDBI Bank Limited, Kotak Mahindra Bank Ltd., Royal Bank of Scotland N.V., Standard Chartered Bank and YES Bank Limited. The list of banks is subject to change from time to time.

  The AMC / Fund shall not be held liable for any losses/ claims, etc. arising on account of processing the direct credit or credit via RTGS/NEFT of redemption / IDCW proceeds on the basis of Bank Account details as provided by the Unit holder in the Application Form.

  In case of unforeseen circumstances, the AMC/Fund reserves the right to issue a remit monies through electronic modes, if relevant details are available in investor's folio / KYC records.

  In the absence of a specific request from the Unit holder exercising their choice of the mode of payment offered by the Fund from time to time, the payment of redemption / IDCW proceeds shall be effected via the RTGS / NEFT mechanism only.

  Where this payment mode is not feasible / available, the payment of such proceeds will be made by DC as may be feasible.

  Unitholders are advised to opt for the NEFT / RTGS, as it helps in avoiding loss of IDCW/ redemption warrant in transit or fraudulent encashment. Please update your IFSC Code in order to get payouts via electronic mode in to your bank account.

  Any charges levied by the investor's bank for receiving payment through electronic mode will be borne by the investor. The Mutual Fund/ AMC will not accept any request for refund of such bank charges.

  AMC reserves the rights to change the payout mode from cheque to electronic credit OR vice versa in case such a situation arises requiring the need for this conversion in payout mode to ensure faster payment.

- **Mode of Payment for Unit holders holding Units in Demat form**

  Investors will receive their redemption payout/ IDCW proceeds directly into their bank accounts linked to the demat accounts. Please ensure to furnish the Bank Account details under Section 8. For investors, who have invested through the offline mode and subsequently dematerialized the units, Investors will receive their redemption/Payout of IDCW directly into the bank account registered with their demat account ONLY irrespective of the account registered with us at the time of offline application.

8. Cash Component

Cash Component represents the difference between Net Assets Value (NAV) and the closing market price of the basket of securities of the Underlying Index as at the end of previous Business Day.

This difference includes accrued IDCW, accrued annual charges, management fees, accrued interest income earned by the Scheme, if any, and residual cash in the Scheme. In addition, the Cash Component will include transaction cost as charged by the Custodian/DP, equalization of IDCW and other incidental expenses for Creating Units including statutory levies, if any. Cash Component will also include exit load, if applicable.

The Cash Component will vary from time to time and will be decided and announced by the AMC at the beginning of a Business Day and will apply to all transactions for that day.

9. E-mail Communication

Investors should ensure that the email id provided is that of First /Sole holder or of their Family member. Family means spouse, dependent children, Dependant Sibling or dependent parents. This email address and mobile no. provided shall be registered in the folio for all communications. In case, this section is left blank, the email id and mobile no. of the First / Sole Holder available in the KYC records shall be registered in the folio.

If the AMC / RTA finds that the email address / mobile number provided may not be of the actual investor or the same appears incorrect / doubtful, the AMC / RTA may capture / update such not email address / mobile number in the folio. In such case they will intimate the investor to provide the correct email address / mobile number through a KYC change request form or other permissible mode.

Provision of email address, will be treated as your consent to receive, Allotment confirmations, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode /email. These documents shall be sent physically in case the Unit holder opts/request for the same. Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the Unit holder shall promptly intimate the Fund about the same to enable the Fund to make the delivery through alternate means. It is deemed that the Investor is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties.

The AMC / Trustee reserve the right to send any communication in physical mode.

10. Electronic Services (*e*Services)

In order to transact online, all investors must create User ID and password themselves. For individuals (sole holders), the online access will automatically be enabled once folio is created. However, non individuals and individuals with mode of holding as Joint, investors will be able to create User id and Password only if they have applied for online access in the application form and the same has been enabled after folio creation upon receipt of all required valid documents.

The ***e*Services** facility includes **HDFCMF***Online Investors*, a Unitholder's transaction portal. The AMC/ Fund may at its sole discretion offer/discontinue any and/or all of the ***e*Services** facilities offered to any Unitholder in the event the facility is restricted under the applicable jurisdictional laws of such Unitholder.

HDFCMF*Online Investors*

The Fund's website www.hdfcfund.com offers this facility to enable Unitholders to execute purchases, redemptions, switches, Systematic transactions, Rollover, Change IDCW option, Transfer IDCW plan, add/update Nominee details, add/delete bank details, update contact details, In addition, a Unitholder can seek various details, view his portfolio's valuation, download various statements, request for documents and avail such other services as may be introduced by the Fund from time to time. **HDFCMF***Online Investors* is also available as an app on mobile devices. Unitholders can have access by downloading the app.

***e*Alerts**

This facility enables the Unit holder to receive SMS/ email / WhatsApp/ other electronic / notifications/ confirmations for purchase, redemption, SIP, switch, IDCW declaration details and other alerts.

For further details and the terms and conditions applicable for availing , please visit our ***e*Services** website www.hdfcfund.com

11. Signatures

Signature(s) should be in English or in any Indian Language. Applications on behalf of minors should be signed by their natural parent/legal Guardian. In case of a HUF, the Karta should sign the Application Form on behalf of the HUF.

In case of an application through a constituted Attorney, the Power of Attorney should be signed by the investor and the constituted Attorney. The Application Form should be signed in such cases by such constituted Attorney.

12. Nomination

The nomination details provided by the Unit holder to the depository will be applicable to the Units of the Scheme. Such nomination including any variation, cancellation or substitution of Nominee(s) shall be governed by the rules and bye-laws of the Depository.

Payment to the nominee of the sums shall discharge the Mutual Fund of all liability towards the estate of the deceased Unit holder and his/ her legal successors /legal heirs.

In case nomination has been made for DP account with joint holders, in case of death of any of the joint holder(s), the securities will be transmitted to the surviving holder(s). Only in the event of death of all the joint holders, the securities will be transmitted to the nominee.

In case nomination is not made by the sole holder of DP account, the securities would be transmitted to the account of legal heir(s), as may be determined by an order of the competent court.

The provisions of 'Nomination Facility' as described in the SAI will be applicable for Unit holders who have rematerialized the units.

13. Permanent Account Number

SEBI has made it mandatory for all applicants (in the case of application in joint names, each of the applicants) to mention the permanent account number (PAN) irrespective of the amount of investment [Except as given below]. Where the applicant is a minor, and does not possess his / her own PAN, he / she shall quote the PAN of his/ her natural parent/legal guardian, as the case may be. However, PAN is not mandatory in the case of Central Government, State Government entities and the officials appointed by the courts e.g. Official liquidator, Court receiver etc (under the category of Government) for transacting in the securities market. PAN card copy is not required separately if KYC acknowledgement letter is made available with PAN. The Fund reserves the right to ascertain the status of such entities with adequate supporting documents. Applications not complying with the above requirement may not be accepted/processed.

No Redemption and related transaction(s) will be processed, in case PAN is not updated in the folios. Accordingly, such unitholders will have to submit the redemption and related transactions along with a self-attested copy of PAN, for all the jointholders. Such request will be processed only after successful validation of the PAN with the Income Tax Department's portal. If the PAN validation fails, or if the request is not accompanied with a copy of the PAN card, such request will not be processed. Such Unitholders will have to resubmit the transaction request along with self-attested copy of valid PAN card.

For further details, please refer Section 'Permanent Account Number' under Statement of Additional Information available on our website www.hdfcfund.com

- **PAN Exempt Investments**

  SEBI vide clause 17.6.1 of SEBI Master Circular for Mutual Funds dated March 20, 2026 has clarified that investments in mutual funds schemes (including investments in SIPs) of upto Rs.50,000 per investor per year across all schemes of the Fund shall be exempt from the

requirement of PAN. Accordingly, individuals (including Joint Holders who are individuals, NRIs but not PIOs, Minors) and Sole proprietary firms who do not possess a PAN ("Eligible Investors")* are exempt from submission of PAN for investments upto Rs.50,000 in a rolling 12 month period or in a financial year i.e. April to March. However, Eligible Investors are required to undergo Know Your Customer (KYC) procedure with any of the SEBI registered KYC Registration Authorities (KRA). Eligible Investors must quote PAN Exempt KYC Reference Number (PEKRN) issued by the KRA under the KYC acknowledgement letter in the application form and submit a copy thereof along with the application form. In case the applicant is a minor, PAN /PEKRN details of the Guardian shall be submitted, as applicable. Eligible Investors (i.e. the First Holder) must not possess a PAN at the time of submission of application form. Eligible investors must hold only one PEKRN issued by any one of the KRAs.

If an application for investment together within investments made in a rolling 12 month period or in a financial year exceeds Rs.50,000, such an application will be rejected.

Fresh and Additional Purchase will be covered in the limit of Rs.50,000. However, if the amount per switch transaction is Rs.50,000 or more, in accordance with the extant Income Tax rules, investors will be required to furnish a copy of PAN to the Mutual Fund.

The detailed procedures / requirements for accepting applications shall be as specified by the AMC/Trustee from time to time and their decision in this behalf will be final and binding.

** HUFs and other categories are not eligible for such investments.*

14. Prevention of Money Laundering

SEBI vide its circular no. CIR/ISD/AML/3/2010 dated December 31, 2010 issued a 'Master Circular on Anti Money Laundering (AML) Standards/ Combating the Financing of Terrorism (CFT)/Obligations of Securities Market Intermediaries under the Prevention of Money Laundering Act, 2002' which has been most recently updated on February 03, 2023 and other circulars issued from time to time, mandated that all intermediaries including Mutual Funds should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know Your Customer (KYC) policy.

The Investor(s) should ensure that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption Act and / or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.

To ensure appropriate identification of the Investor(s) and with a view to monitor transactions for the prevention of money laundering, the AMC/ Fund reserves the right to seek information, record investor's telephonic calls and or obtain and retain documentation for establishing the identity of the Investor(s), their beneficial ownership, proof of residence, source of funds, etc. It may re-verify identity and obtain any incomplete or additional information for this purpose.

The Fund, AMC, Trustee and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios/rejection of any application / allotment of Units or mandatory redemption of Units due to non compliance with the provisions of the PMLA, SEBI/AMFI circular(s) and KYC policy and / or where the AMC believes that transaction is suspicious in nature within the purview of the PMLA and SEBI/AMFI circular(s) and reporting the same to FIU-IND.

For further details, please refer Section **'Prevention of Money Laundering'** under the Statement of Additional Information available on our website www.hdfcfund.com

15. Know Your Customer (KYC) Compliance

a. KYC registered under KYC Registration Agency (KRA):

Units held in account statement (non-demat) form

It is mandatory for the Investors to quote the KYC Compliance Status of each applicant (guardian in case of minor) in the application and attach proof of KYC Compliance viz. KYC Acknowledgement Letter for all purchases/ switches/ redemptions/ registrations for Systematic Investment Plan (SIP)/ Systematic Transfer Plan (STP)/Flex STP/ Flexindex Plan/ Transfer of Income Distribution cum Capital Withdrawal (IDCW) Plan Facility (TIP Facility). Applicants intending to apply for units through a Power of Attorney (PoA) must ensure that the issuer of the PoA and the holder of the PoA must mention their KYC Compliance Status and attach proof of KYC Compliance at the time of investment. For cases where the KYC status is 'Under Process', AMC may accept the subscription/switch transactions and process the same, but keep the units 'on hold' from any further transactions till KYC status is 'Verified'.

SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries. New investors are therefore requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are also available on our website www.hdfcfund.com. Existing KYC compliant investors of the Fund can continue the practice of providing KYC Acknowledgement Letter/ Printout of KYC Compliance Status downloaded from CDSL Ventures Ltd. (CVL) website (www.cvlindia.com) using the PAN at the time of investment.

Once the investor has done KYC with any SEBI registered intermediary, the investor need not undergo the same process again with the Fund. However, the Fund reserves the right to carry out fresh KYC of the investor in its discretion.

Units held in electronic (demat) form

For units held in demat form, the KYC performed by the Depository Participant of the applicants will be considered as KYC verification done by the Trustee / AMC. In the event of non compliance of KYC requirements, the Trustee/AMC reserves the right to freeze the folio of the investor(s) and effect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any.

For further details, please refer Section 'Know Your Customer (KYC) Compliance' under Statement of Additional Information available on our website www.hdfcfund.com

b. Central KYC Records Registry (CKYCR):

The Government of India vide their Notification dated November 26, 2015 authorised the Central Registry of Securitisation Asset Reconstruction and Security Interest of India (CERSAI) to act as and to perform the functions of the Central KYC Records Registry under the said rules, including receiving, storing, safeguarding and retrieving the KYC records under the Prevention of Money-Laundering Act, 2002. SEBI required all the market intermediaries to update/upload KYC details of the new customer/investors (not KYC-KRA compliant) on CERSAI's online platform. CERSAI is a centralized repository of KYC records of customers/investors in the financial sector with uniform KYC norms and inter-usability of the KYC records across the sector with an objective to reduce the burden of producing KYC documents and getting those verified every time when the customer/investors creates a new relationship with a financial entity. Central KYC (CKYC) will store all the customer/investor information at one central server that is accessible to all the financial institutions. After opening a KYC account under the CKYC, customer/investor will get a 14-digit identification number ("KYC Number") and that the same may be quoted by the investor wanting to invest in mutual funds. Further, the Mutual Fund/AMC is required to check whether the PAN of the investor has been updated in CKYCR. In case the PAN has not been updated, the Mutual Fund/AMC shall collect a self certified copy of the investor's PAN card and update/upload the same in CKYCR.

In case the Investor uses the old KRA KYC form for updating of any KYC information, such investor shall be required to provide additional/missing information only by using the supplementary CKYC form or fill the new "CKYC form".

16. Ultimate Beneficial Owners(s)

Pursuant to SEBI Master Circular No. SEBI/HO/MIRSD/MIRSD SEC-5/P/CIR/2023/022 dated February 03, 2023 on AML/CFT Obligations,Guidelines on identification of Beneficial Ownership issued by SEBI vide its Circular No. CIR/MIRSD/2/2013 dated January 24, 2013 and other circulars issued from time to time, investors (other than Individuals) are required to provide details of 'Ultimate Beneficial Owner(s) (UBO(s))'. In case the investor or owner of the controlling interest is a company listed on a stock exchange or is a majority owned subsidiary of such a company, the details of shareholders or beneficial owners are not required to be provided.

Non-individual applicants/investors are mandated to provide the details on 'Ultimate Beneficial Owner(s) (UBO(s))' by filling up the declaration form for 'Ultimate Beneficial Ownership'. Please contact the nearest Investor Service Centre (ISC) of HDFC Mutual Fund or log on to 'Investor Corner' section on our website www.hdfcfund.com for the Declaration Form

17. Consent For Telemarketing

HDFC AMC shall treat this as an explicit consent by the Unit Holder/(s) to send promotional information/ material to the mobile number(s)/ email id provided by the Unit Holder/(s) in this Application Form and such consent shall supersede all the previous consents/ registrations by the Unit Holder/(s) in this regard. If you do not wish to receive such promotional information/ materials, please write to us at hello@hdfcfund.com or submit a written application at any of the Investor Service Centres (ISC) of the Fund. Please quote your PAN and folio number(s) while communicating with us to help you serve better.

18. Online Dispute Redressal Mechanism

In accordance with SEBI Circular No. SEBI/HO/OIAE/OIAE_IAD-1/P/CIR/2023/145 dated July 31, 2023 (upodated on August 4, 2023) ("the Circular"), all disputes between institutional or corporate clients and AMC can be resolved, at the option of the institutional or corporate clients:

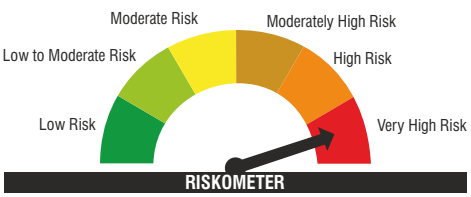
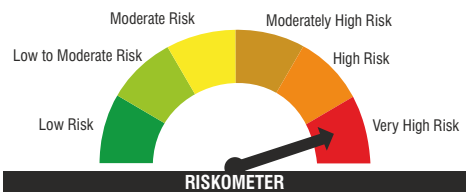
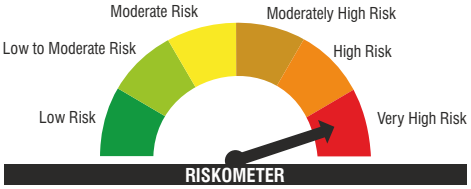
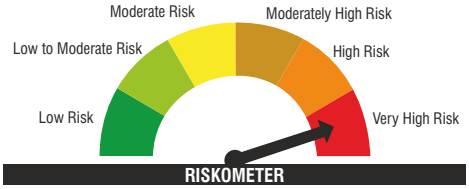
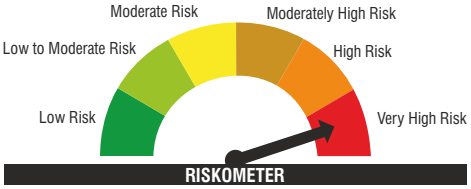
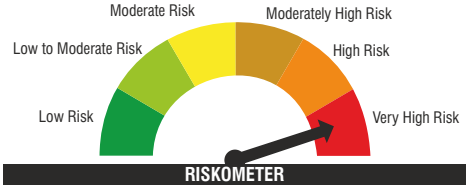
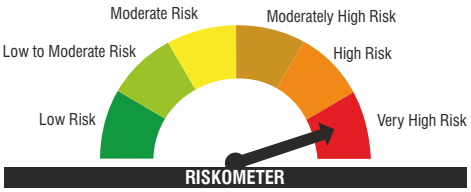
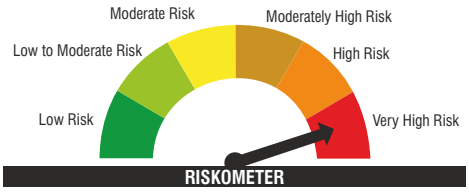
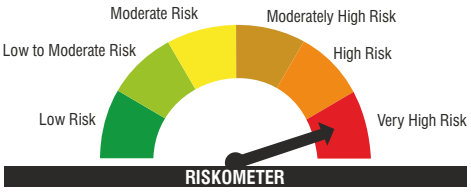
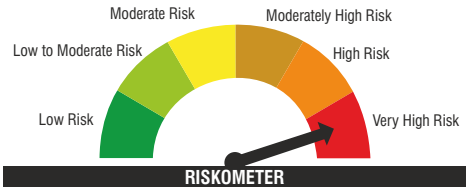
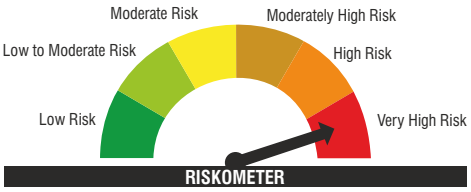
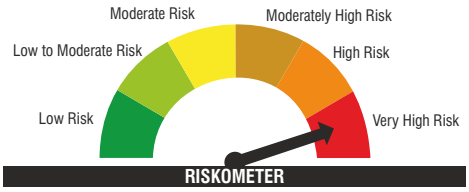
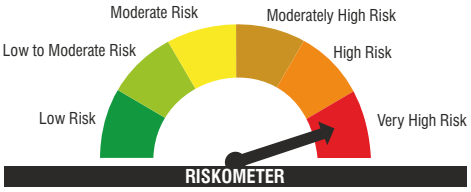
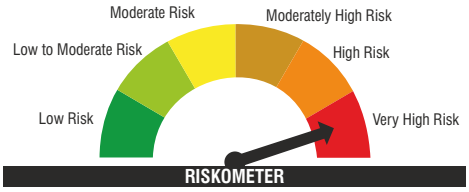
a. in accordance with the circular and by harnessing online conciliation and/or online arbitration as specified in this circular; OR

b. by harnessing any independent institutional mediation, independent institutional conciliation and/or independent arbitration institution in India.

Thus, institutional/corporate clients have to exercise their option under **Section 14**.

PRODUCT LABELING:

To provide investors an easy understanding of the kind of product / scheme they are investing in and its suitability to them, the product labeling for the following schemes is as under:

NAME & TYPE OF SCHEME	This product is suitable for investors who are seeking*	Scheme Riskometer#	Benchmark Riskometer#
HDFC NIFTY Bank ETF An open ended scheme replicating/ tracking Nifty Bank Index (TRI) **BSE Scrip Code:** 543224, **NSE Symbol:** HDFCNIFBAN	• Returns that are commensurate (before fees and expenses) with the performance of the NIFTY Bank Index (TRI) subject to tracking error, over long term. • Investment in equity securities covered by the NIFTY Bank Index (TRI).	 ***The risk of the Scheme is Very High***	NIFTY Bank Index (Total Returns Index)  ***The risk of the Benchmark is Very High***
HDFC NIFTY 50 ETF An open ended scheme replicating/ tracking NIFTY50 Index (TRI) [Rajiv Gandhi Equity Savings Scheme (RGESS) Qualified Scheme] **BSE Scrip Code:** 539516, **NSE Symbol:** HDFCNIFTY	• Returns that are commensurate with the performance of the NIFTY 50 Index (TRI), over long term, subject to tracking error. • Investment in equity securities covered by the NIFTY 50 Index (TRI).	 ***The risk of the Scheme is Very High***	NIFTY 50 Index (Total Returns Index)  ***The risk of the Benchmark is Very High***
HDFC BSE SENSEX ETF An open ended scheme replicating/ tracking BSE SENSEX Index (TRI) **BSE Scrip Code:** 539517, **NSE Symbol:** HDFCSENSEX	• Returns that are commensurate (before fees and expenses) with the performance of the BSE SENSEX Index (TRI), over long term, subject to tracking error. • Investment in securities covered by the BSE SENSEX Index (TRI).	 ***The risk of the Scheme is Very High***	BSE SENSEX Index (Total Returns Index)  ***The risk of the Benchmark is Very High***
HDFC NIFTY 100 ETF An open ended scheme replicating/traacking Nifty 100 Index (TRI) **BSE Scrip Code:** 543569, **NSE Symbol:** HDFCNIF100	• Returns that are commensurate (before fees and expenses) with the performance of the NIFTY 100 Total Returns Index (TRI), over long term, subject to tracking error. • Investment in securities covered by the NIFTY 100 Total Returns Index (TRI).	 ***The risk of the Scheme is Very High***	Nifty 100 Index (Total Returns Index)  ***The risk of the Benchmark is Very High***
HDFC NIFTY Next 50 ETF An open ended scheme replicating/tracking NIFTY Next 50 Index (TRI) **BSE Scrip Code:** 543570, **NSE Symbol:** HDFCNEXT50	• Returns that are commensurate (before fees and expenses) with the performance of the NIFTY Next 50 Total Returns Index (TRI), over long term, subject to tracking error. • Investment in securities covered by the NIFTY Next 50 Total Returns Index (TRI).	 ***The risk of the Scheme is Very High***	NIFTY Next 50 Index (Total Returns Index)  ***The risk of the Benchmark is Very High***
HDFC NIFTY Growth Sectors 15 ETF An open ended scheme replicating/tracking NIFTY Growth Sectors 15 Index (TRI) **BSE Scrip Code:** 543607, **NSE Symbol:** HDFCGROWTH	• Returns that are commensurate (before fees and expenses) with the performance of the NIFTY Growth Sectors 15 Index (TRI), over long term, subject to tracking error. • Investment in securities covered by the NIFTY Growth Sectors 15 Index (TRI).	 ***The risk of the Scheme is Very High***	NIFTY Growth Sectors 15 Index (Total Returns Index)  ***The risk of the Benchmark is Very High***
HDFC NIFTY100 Quality 30 ETF An open ended scheme replicating/tracking NIFTY100 Quality 30 Index (TRI) **BSE Scrip Code:** 543605, **NSE Symbol:** HDFCQUAL	• Returns that are commensurate (before fees and expenses) with the performance of the NIFTY100 Quality 30 Index (TRI), over long term, subject to tracking error. • Investment in securities covered by the NIFTY100 Quality 30 Index (TRI).	 ***The risk of the Scheme is Very High***	NIFTY100 Quality 30 Index (Total Returns Index)  ***The risk of the Benchmark is Very High***

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PRODUCT LABELING:

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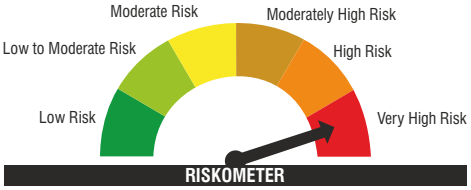
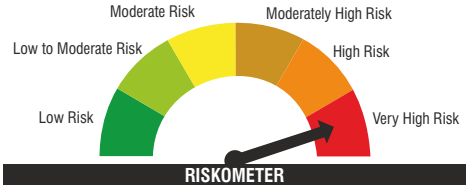
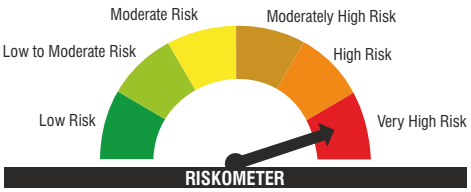
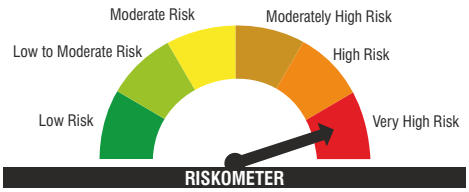
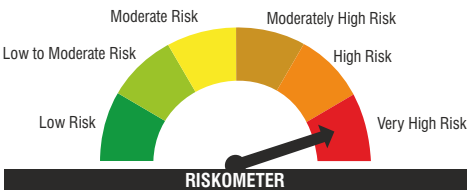
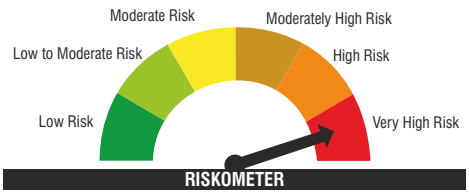
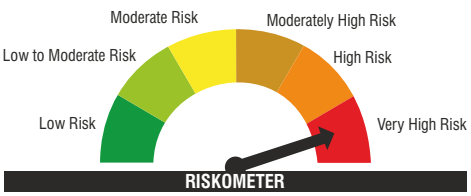
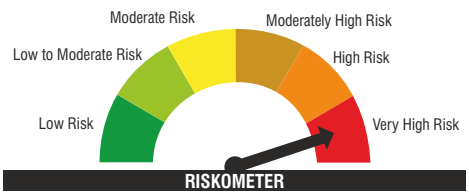
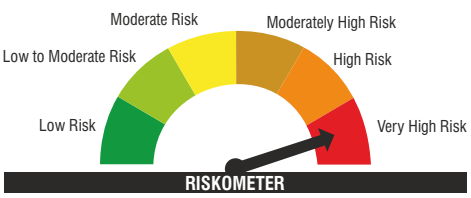
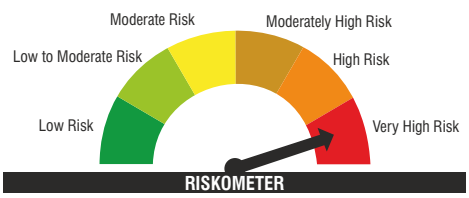
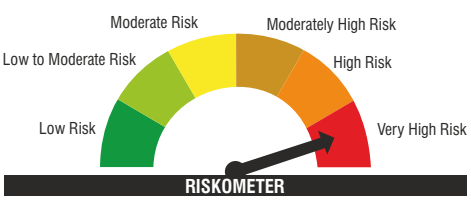
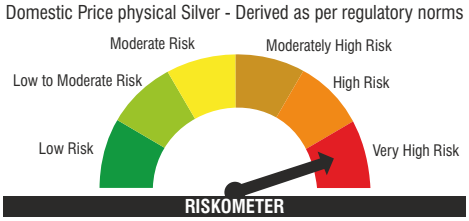
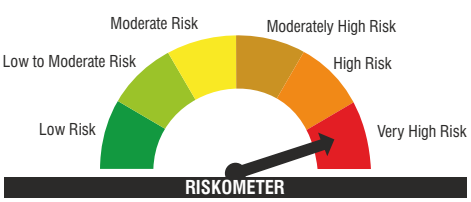
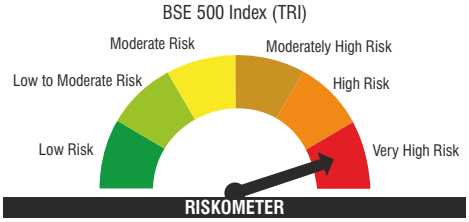
NAME & TYPE OF SCHEME	This product is suitable for investors who are seeking*	Scheme Riskometer#	Benchmark Riskometer#
HDFC NIFTY50 Value 20 ETF An open ended scheme replicating/tracking NIFTY50 Value 20 Index (TRI) **BSE Scrip Code:** 543608, **NSE Symbol:** HDFCVALUE	• Returns that are commensurate (before fees and expenses) with the performance of the NIFTY50 Value 20 Index (TRI), over long term, subject to tracking error. • Investment in securities covered by the NIFTY50 Value 20 Index (TRI).	 ***The risk of the Scheme is Very High***	NIFTY 50 Value 20 Index (Total Returns Index)  ***The risk of the Benchmark is Very High***
HDFC NIFTY200 Momentum 30 ETF An open ended scheme replicating/tracking NIFTY200 Momentum 30 Index (TRI) **BSE Scrip Code:** 543628, **NSE Symbol:** HDFCMOMENT	• Returns that are commensurate (before fees and expenses) with the performance of the NIFTY200 Momentum 30 Index (TRI), over long term, subject to tracking error. • Investment in securities covered by the NIFTY200 Momentum 30 Index (TRI).	 ***The risk of the Scheme is Very High***	NIFTY200 Momentum 30 Index (Total Returns Index)  ***The risk of the Benchmark is Very High***
HDFC NIFTY100 Low Volatility 30 ETF An open ended scheme replicating/tracking NIFTY100 Low Volatility 30 Index (TRI) **BSE Scrip Code:** 543627, **NSE Symbol:** HDFCLOWVOL	• Returns that are commensurate (before fees and expenses) with the performance of the NIFTY100 Low Volatility 30 Index (TRI), over long term, subject to tracking error. • Investment in securities covered by the NIFTY100 Low Volatility 30 Index (TRI).	 ***The risk of the Scheme is Very High***	NIFTY100 Low Volatility 30 Index (Total Returns Index)  ***The risk of the Benchmark is Very High***
HDFC NIFTY Private Bank ETF An open ended scheme replicating/tracking NIFTY Private Bank Index (TRI) **BSE Scrip Code:** 543666, **NSE Symbol:** HDFCPVTBAN	• Returns that are commensurate (before fees and expenses) with the performance of the NIFTY Private Bank Index (TRI), over long term, subject to tracking error. • Investment in securities covered by the NIFTY Private Bank Index (TRI).	 ***The risk of the Scheme is Very High***	NIFTY Private Bank Index (Total Returns Index)  ***The risk of the Benchmark is Very High***
HDFC NIFTY IT ETF An open ended scheme replicating/tracking NIFTY IT Index (TRI) **BSE Scrip Code:** 543665, **NSE Symbol:** HDFCNIFIT	• Returns that are commensurate (before fees and expenses) with the performance of the NIFTY IT Index (TRI), over long term, subject to tracking error. • Investment in securities covered by the NIFTY IT Index (TRI).	 ***The risk of the Scheme is Very High***	NIFTY IT Index (Total Returns Index)  ***The risk of the Benchmark is Very High***
HDFC Silver ETF An open ended Exchange Traded Fund (ETF) replicating/ tracking performance of Silver **BSE Scrip Code:** 543592, **NSE Symbol:** HDFCSILVER	• Returns that are commensurate with the performance of Silver, subject to tracking errors, over long term. • Investment in Silver bullion of 0.999 fineness.	 ***The risk of the Scheme is Very High***	Domestic Price physical Silver - Derived as per regulatory norms  ***The risk of the Benchmark is Very High***
HDFC BSE 500 ETF An open ended scheme replicating/tracking BSE 500 Index (TRI) **BSE Scrip Code:** 543774, **NSE Symbol:** HDFCBSE500	• Returns that are commensurate (before fees and expenses) with the performance of the BSE 500 Index (TRI), over long term, subject to tracking error. • Investment in securities covered by the BSE 500 Index (TRI).	 ***The risk of the Scheme is Very High***	BSE 500 Index (TRI)  ***The risk of the Benchmark is Very High***

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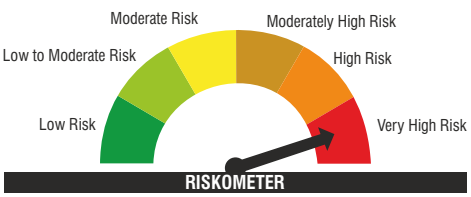
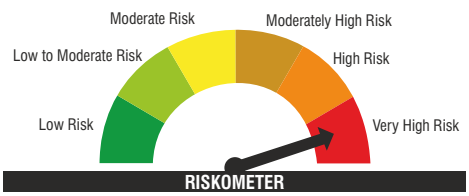
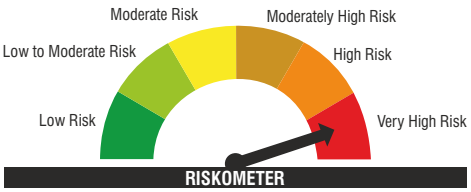
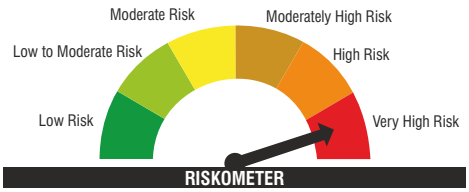
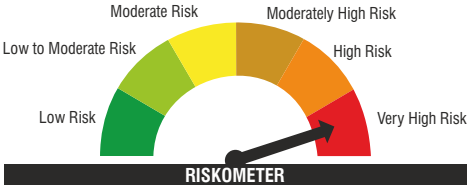
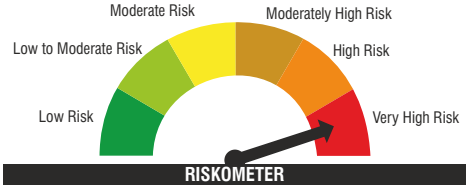
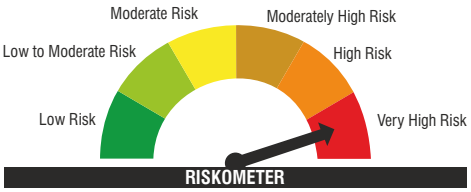
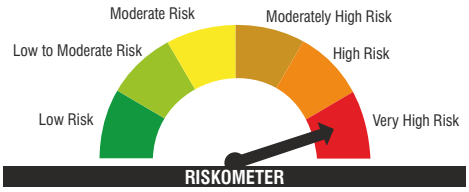
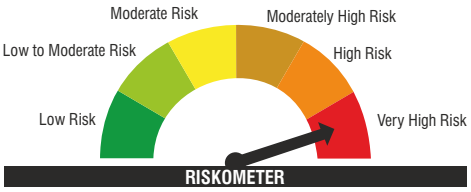
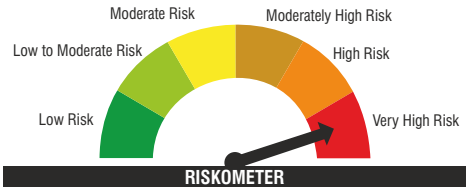
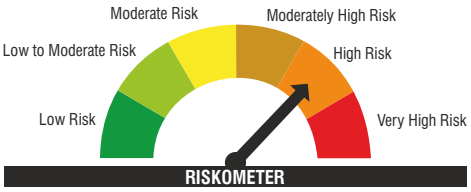
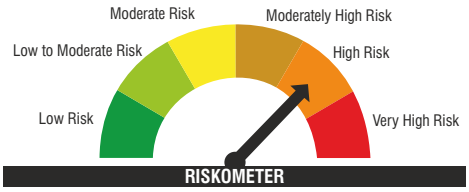
HDFC NIFTY Midcap 150 ETF An open ended scheme replicating/tracking NIFTY Midcap 150 Index (TRI) **BSE Scrip Code:** 543776, **NSE Symbol:** HDFCMID150	• Returns that are commensurate (before fees and expenses) with the performance of the NIFTY Midcap 150 Index (TRI), over long term, subject to tracking error. • Investment in securities covered by the NIFTY Midcap 150 Index (TRI).	 ***The risk of the Scheme is Very High***	NIFTY Midcap 150 Index (TRI)  ***The risk of the Benchmark is Very High***
HDFC NIFTY Smallcap 250 ETF An open ended scheme replicating/tracking NIFTY Smallcap 250 Index (TRI) **BSE Scrip Code:** 543775, **NSE Symbol:** HDFCSML250	• Returns that are commensurate (before fees and expenses) with the performance of the NIFTY Smallcap 250 Index (TRI), over long term, subject to tracking error. • Investment in securities covered by the NIFTY Smallcap 250 Index (TRI).	 ***The risk of the Scheme is Very High***	NIFTY Smallcap 250 Index (TRI)  ***The risk of the Benchmark is Very High***
HDFC NIFTY PSU Bank ETF An open ended scheme replicating/tracking NIFTY PSU Bank Index (TRI) **BSE Scrip Code:** 544110, **NSE Symbol:** HDFCPSUBK	• Returns that are commensurate (before fees and expenses) with the performance of the NIFTY PSU Bank Index (TRI), over long term, subject to tracking error. • Investment in securities covered by the NIFTY PSU Bank Index (TRI).	 ***The risk of the Scheme is Very High***	NIFTY PSU Bank Index (TRI)  ***The risk of the Benchmark is Very High***
HDFC Nifty Metal ETF An Open Ended Scheme replicating/ tracking the Nifty Metal Index (TRI) **BSE Scrip Code:** 544842, **NSE Symbol:** HDFCNIMEG	• Returns that are commensurate (before fees and expenses) with the performance of the Nifty Metal Index (TRI), over long term, subject to tracking error. • Investment in equity securities covered by the Nifty Metal Index (TRI)	 ***The risk of the Scheme is Very High***	Nifty Metal Index (TRI)  ***The risk of the Benchmark is Very High***
HDFC FTSE India Equity ETF An Open Ended Scheme replicating/ tracking the FTSE India Equity Index (TRI) **BSE Scrip Code:** 544988, **NSE Symbol:** HFTSEINDIA	• Returns that are commensurate (before fees and expenses) with the performance of the FTSE India Equity Index (TRI), over long term, subject to tracking error. • Investment in equity securities covered by the FTSE India Equity Index (TRI)	 ***The risk of the Scheme is Very High***	FTSE India Equity Index (TRI)  ***The risk of the Benchmark is Very High***
HDFC Gold ETF An open ended scheme replicating / tracking performance of Gold **BSE Scrip Code:** 533230, **NSE Symbol:** HDFCGOLD	• Returns that are commensurate with the performance of gold, subject to tracking errors, over long term. • Investment in gold bullion of 0.995 fineness.	 ***The risk of the Scheme is High***	Domestic Price of Physical Gold  ***The risk of the Benchmark is High***

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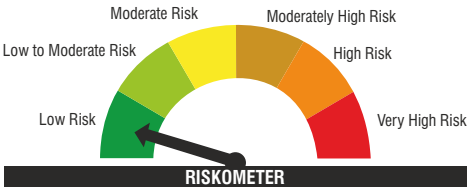
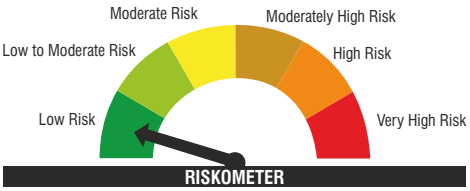
HDFC Nifty 1D Rate Liquid ETF - Growth An open ended Scheme replicating/tracking NIFTY 1D Rate Index. A Relatively Low Interest Rate Risk and Relatively Low Credit Risk. **BSE Scrip Code:** 543973, **NSE Symbol:** HDFCLIQUID	• Investment over short term with returns that before expenses, correspond to the returns of the NIFTY 1D Rate Index, subject to tracking errors, along with high degree of liquidity. • Investment in TREPS covered by the NIFTY 1D Rate Index	 *The risk of the Scheme is Low*	NIFTY 1D Rate Index  *The risk of the Benchmark is Low*

Potential Risk Class (Maximum risk the Scheme can take)

Credit Risk of Scheme → / Interest Rate Risk of the Scheme ↓	Relatively Low (Class A)	Moderate (Class B)	Relatively High (Class C)
Relatively Low (Class I)	A-I		
Moderate (Class II)			
Relatively High (Class III)			

A-I - A Scheme with Relatively Low Interest Rate Risk and Relatively Low Credit Risk.

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For KIM Please Click below Links

SR. No.	Name of the scheme
1	HDFC Gold ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20Gold%20ETF%20dated%20November%2021%2C%202025.pdf?
2	HDFC NIFTY 100 ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20NIFTY%20100%20ETF%20dated%20November%2021%2C%202025.pdf?
3	HDFC NIFTY 50 ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20NIFTY%2050%20ETF%20dated%20November%2021%2C%202025.pdf?
4	HDFC NIFTY Bank ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20NIFTY%20Bank%20ETF%20dated%20November%2021%2C%202025.pdf?
5	HDFC NIFTY IT ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20NIFTY%20IT%20ETF%20dated%20November%2021%2C%202025.pdf?
6	HDFC NIFTY Midcap 150 ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20NIFTY%20Midcap%20150%20ETF%20dated%20November%2021%2C%202025.pdf?
7	HDFC NIFTY Growth Sectors 15 ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20NIFTY%20Growth%20Sectors%2015%20ETF%20dated%20November%2021%2C%202025.pdf?
8	HDFC NIFTY Next 50 ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20NIFTY%20Next%2050%20ETF%20dated%20November%2021%2C%202025.pdf?
9	HDFC NIFTY Private Bank ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20NIFTY%20Private%20Bank%20ETF%20dated%20November%2021%2C%202025.pdf?
10	HDFC Nifty Smallcap 250 ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20Nifty%20Smallcap%20250%20ETF%20dated%20November%2021%2C%202025.pdf?
11	HDFC NIFTY100 Low Volatility 30 ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20NIFTY100%20Low%20Volatility%2030%20ETF%20dated%20November%2021%2C%202025.pdf?
12	HDFC NIFTY100 Quality 30 ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20NIFTY100%20Quality%2030%20ETF%20dated%20November%2021%2C%202025.pdf?
13	HDFC NIFTY200 Momentum 30 ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20NIFTY200%20Momentum%2030%20ETF%20dated%20November%2021%2C%202025.pdf?
14	HDFC NIFTY50 Value 20 ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20NIFTY50%20Value%2020%20ETF%20dated%20November%2021%2C%202025.pdf?
15	HDFC BSE 500 ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20BSE%20500%20ETF%20dated%20November%2021%2C%202025.pdf?
16	HDFC BSE SENSEX ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20BSE%20SENSEX%20ETF%20dated%20November%2021%2C%202025.pdf?
17	HDFC Silver ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20Silver%20ETF%20dated%20November%2021%2C%202025.pdf?
18	HDFC Nifty PSU Bank ETF https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20NIFTY%20PSU%20BANK%20ETF%20dated%20November%2021%2C%202025.pdf?
19	HDFC NIFTY 1D Rate Liquid ETF - Growth https://files.hdfcfund.com/s3fs-public/KIM/2025-11/KIM%20-%20HDFC%20NIFTY%201D%20Rate%20Liquid%20ETF%20-%20Growth%20dated%20November%2021%2C%202025.pdf?
20	HDFC Nifty Metal ETF https://files.hdfcfund.com/s3fs-public/KIM/2026-07/KIM%20%26%20App%20form%20-%20HDFC%20Nifty%20Metal%20ETF%20dated%2008%2C%202026.pdf?
21	HDFC FTSE India Equity ETF https://files.hdfcfund.com/s3fs-public/KIM/2026-09/KIM%20%26%20App%20form%20HDFC%20FTSE%20India%20Equity%20ETF%20dated%20September%2021%2C%202026.pdf?