

HDFC
MUTUAL FUND
BHAROSA APNO KA

Date

D

D

M

M

Y

Y

Y

Y

<City> - <Pincode

[illegible]

Address Line 1																
Address Line 2																
City:					State					PIN						

 www.hdfcfund.com

Mutual Fund investments are subject to market risks, read all scheme related documents carefully

Bank Account Details of the Nominee / Legal Heir / Claimant

Bank Name																									
Account No.											11-digit IFSC														
A/c. Type (✓)	☐ SB	☐ Current	☐ NRO	☐ NRE	☐ FCNR	9-digit MICR No.																			
Name of bank branch																									
City																									
State																PIN									

Please attach & tick (✓) ☐ Cancelled cheque with claimant’s name printed **OR** ☐ Claimant’s Bank Statement/Passbook

Part D – Consent by other Nominee(s) to be added as Joint Holder(s) (Applicable only in case of claims submitted by nominee)

Other nominee(s) nominated by the deceased holder in the above referred folio(s) as listed below hereby consent to transmit the units with me as the first holder and add other nominee(s) as Joint Holder(s) in the new transmitted folio.

Name of the Nominee(s) to be added as joint holder	PAN/ PEKN	KYC Compliance*

*if KYC not compliance/not done, KYC form with relevant supporting documents to be submitted.

I/We hereby provided my/our consent to add me/us as Joint Holder in the new transmission folio where claimant would be the first holder.

Name of the Nominee(s) to be added as joint holder	Signature

Part E – Declaration and Responsibility Clause

I/We confirm that the above documents have been submitted and that the information, facts, and particulars furnished by me/us in this undertaking and the accompanying documents are true, correct, and complete to the best of my/our knowledge and belief, and no material information / fact has been concealed or misrepresented therein.

I/We further undertake and confirm that in the event any information, declaration, or document furnished by me/us herein is subsequently found to be false, incorrect, incomplete, forged, or fraudulent, the responsibility and liability for resolving the resultant discrepancy, dispute, or claim – including any consequential loss, cost, or legal action – shall rest solely and exclusively with me/us, the claimant(s), and I/We shall keep the Company/AMC/RTA/ Depository Participant fully indemnified and harmless against any loss, claim, demand, or liability arising directly or indirectly therefrom. I/We also knowledge to share the above demise information to the regulatory authorities or statutory authorities or to the entities entrusted by the regulatory/statutory authorities from time to time.

Claimant Signature

Place											Date	D	D	M	M	Y	Y	Y	Y
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Note: Where any person required to sign this document is unable to sign, he/she may affix his/her left thumb impression in lieu of signature.

Documents checklist to be attached along with the transmission request form.

S. No.	Document	Submitted	Remarks
1	Original Transmission Request Form (duly filled and signed).	☐	
2	Self-attested (by the claimant) copy of Verifiable Death Certificate of the deceased holder.	☐	
3	Original Security Certificate / Statement of Account (if applicable).	☐	
4	If claimant is a minor - Birth Certificate or School leaving certificate/ Passport or last four digits of Aadhaar (where the date of birth is available - Attested by the Guardian (Natural or Legal).	☐	
5	KYC of Guardian (if claimant is a minor or of unsound mind).	☐	
6	Nomination Form or Nomination Opt-Out form.	☐	
7	FATCA-CRS Declaration in a prescribed format.	☐	
8	Signature of the Nominee/ Claimant shall be attested only by a Notary Public or a Judicial Magistrate First Class (JMFC)	☐	
9	Notarized indemnity bond indemnifying the RTA / listed entity/AMC as may be applicable.	☐	
10	Notarized affidavit cum NOC from all legal heirs, confirming identification and claim of legal ownership to the securities and no objection. (OR) Copy of family settlement deed executed by all legal heirs, duly attested by a notary public, gazetted officer, or accepted and approved by a magistrate, judge or civil court.	☐	
11	Notarized affidavit cum NOC from all legal heirs, confirming identification and claim of legal ownership to the securities and no objection.	☐	
12	Any ONE of the following succession documents: Copy of Will as may be applicable in terms of Indian Succession Act, 1925, along with a notarized indemnity bond from the legal heir(s)/claimant(s) to whom the securities have to be transmitted. (OR) Legal Heirship Certificate or its equivalent issued by relevant authority which will mean a revenue authority not below the rank of a Tehsildar or equivalent authority, along with a notarized indemnity bond from the legal heir (s)/claimant(s) to whom the securities have to be transmitted. (OR) Copy of Succession certificate or Letter of Administration or Court Decree.	☐	

Note:

- For claim **submitted by nominee**, only documents specified at **serial no. 1-8** shall be submitted.
- For claim **submitted by legal heir(s)/claimant(s) under “Simplified” category**, documents specified at **serial no. 1-10**, as applicable, shall be submitted.
- For claim **submitted by legal heir(s)/claimant(s) under “Above Threshold” category**, documents specified at **serial no. 1-8, 11 and 12**, as applicable, shall be submitted.

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HDFC Mutual Fund: SEBI Registration Number: MF/044/00/6

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