T	TRANSFER OF
I	IDCW
P	PLAN

Enrolment Form

(Please refer Product labeling available on page 91 to 93 and terms and conditions overleaf)

HDFC MUTUAL FUND BHAROSA APNO KA

Enrolment Form No.

The Application Form should be completed in **ENGLISH** and in **BLOCK LETTERS** only. Please tick in the appropriate box wherever applicable and strike off the section(s) not in use.

KEY PARTNER / AGENT INFORMATION (Investors applying under Direct Plan must mention "Direct" in ARN column.)

ARN/RIA Code/Stock Broker/ Portfolio Manager Registration Number (PMRN)	ARN/RIA/Stock Broker/ Portfolio Manager's Name	Sub Agent's ARN	Bank Branch Code	Internal Code for Sub-Agent/ Employee	Employee Unique Identification Number (EUIN)
ARN-					

FOR OFFICE USE ONLY (TIME STAMP)

EUIN Declaration (only where EUIN box is left blank) (Refer Item No. 16)
I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/ relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/ relationship manager/sales person of the distributor/sub broker.

Sign Here	Sign Here	Sign Here
First/Sole Unit holder / Guardian	Second Unit holder	Third Unit holder

Date : D D M M Y Y Y Y

I / We hereby declare and confirm that I/we have read and agree to abide by the terms and conditions of the scheme related documents and the terms & conditions mentioned overleaf of Transfer of IDCW Plan (TIP Facility) and of the relevant Scheme(s) and hereby apply to the Trustees for enrolment under the TIP Facility of the following Scheme(s) / Plan(s) / Option(s) (New Registration).

Please fill up items appearing under (A) and (B) below. Please (✓) any one only

☐ I / We hereby apply for enrolment under the TIP Facility of the following Scheme(s) / Plan(s) / Option(s) and agree to abide by the terms and conditions of the respective Scheme(s) / Plan(s) / Option(s). **(New Registration)**. I / We hereby agree that if the IDCW in the Source Scheme is less than Rs. 500/- the IDCW will be automatically reinvested in the Source Scheme.

☐ I / We hereby apply for cancellation of Enrolment of TIP Facility under the following Scheme(s) / Plan(s) / Option(s). **(Cancellation)**. Please fill up items appearing under (A) [Except PAN details] and (C) below.

(A) **Applicant Name:**

(B) **Particulars** (If your investment is to or from Direct Plan of the Scheme(s), please mention so clearly.)

1. **Folio No. of 'Source' Scheme / Plan / Option** (for existing Unit holder) / **Application No.** (for new investor)	
2. **Name of 'Source' Scheme/Plan/Option**	
3. **Name of 'Target' Scheme/Plan/Option**	

(C) **CANCELLATION OF TIP Facility**

Folio No. of 'Source' Scheme / Plan / Option	
Name of 'Source' Scheme/Plan/Option	
IDCW Payment Details (Refer Item No. 13 overleaf)	☐ Payout ☐ Re-investment Investors should note that the amount can be distributed out of investor's capital (Equalization Reserve), which is part of sale price that represents realized gains.

In case of insufficient space, please fill up separate Enrolment Forms.

SIGNATURE(S)

First/Sole Unit holder / Guardian	Second Unit holder	Third Unit holder

Please note : Signature(s) should be as it appears in the folio/ on the Application Form and in the same order.
In case the mode of holding is joint, all Unit holders are required to sign.

ACKNOWLEDGEMENT SLIP (To be filled in by the Unit holder)

Date : D D M M Y Y Y Y

HDFC MUTUAL FUND
Head Office : HDFC House, 2nd Floor, H.T. Parekh Marg,
165-166, Backbay Reclamation, Churchgate, Mumbai - 400 020.

Enrolment Form No.

Received from Mr./Ms./M/s. ____ 'TIP Facility' application for transfer and investment of IDCW; from Scheme / Plan / Option ____

to Scheme / Plan / Option ____

ISC Stamp & Signature

[For any queries please contact our nearest Investor Service Centre or call us at our Customer Service Number 1800 3010 6767 / 1800 419 7676 (Toll Free)]

e-mail us at: hello@hdfcfund.com or **visit our website: www.hdfcfund.com** **Missed Call Number - +91 85069 36767**

TERMS & CONDITIONS FOR TRANSFER OF IDCW PLAN (TIP FACILITY)

1. Transfer of IDCW Plan (TIP Facility) is a facility wherein unit holder(s) of eligible scheme(s) [hereinafter referred to as **"Source Scheme(s)"**] of HDFC Mutual Fund can opt to automatically invest the amount distributed under IDCW Option (as reduced by the amount of applicable statutory levy) declared by the eligible Source Scheme(s) into other eligible Scheme(s) [hereinafter referred to as **"Target Scheme(s)"**] of HDFC Mutual Fund. The TIP Facility is available only for units held / to be held in Non - demat Mode in the Source and the Target Scheme.

2. Currently all open ended schemes (including Direct Plan thereunder) except ETFs are eligible Source/ Target Schemes for this facility.

   TIP is only a disciplined way of investing and units may not be allotted on the selected date if the amount is not available for utilization by the Scheme.

   The above Scheme(s) are subject to change from time to time. Please contact the nearest Investor Service Centre (ISC) of HDFC Mutual Fund for updated list of the Source Scheme and the Target Scheme.

3. TIP facility is available to unit holder(s) only under the IDCW Plan / Option of the Source Scheme(s). However, the TIP facility will not be available to unit holder(s) under the Daily IDCW Option in the Source Scheme(s). Unit holder(s)' enrolment under the TIP facility will automatically override any previous instructions for 'Payout' or 'Reinvestment' facility in the Source Scheme.

4. The enrolment for TIP facility should be for all units under the respective IDCW Option of the Source Scheme. Instructions for part Transfer and part Payout / Reinvestment will not be accepted. The amount will be invested in the Target Scheme under the same folio. Accordingly, the unit holder(s) details and mode of holding in the Target Scheme will be same as in the Source Scheme.

5. The enrolment to avail of TIP facility has to be specified for each Scheme/Plan/Option separately and not at the folio level.

6. Under TIP Facility, amount distributed under IDCW Option of the Source scheme (subject to minimum of Rs. 500/-) will be automatically invested into the Target Scheme, as opted by the unit holder, on the immediate next Business Day after the Record Date at the applicable NAV of the Target Scheme, subject to applicable load as specified under point 9 below and accordingly equivalent units will be allotted in the Target Scheme, subject to the terms and conditions of the respective Target Scheme.

   For example: If the Record Date for distribution under the Source Scheme is December 21 (Friday) and the next Business Day of the Target Scheme (non-Liquid scheme) is December 24 (Monday), the unit holder will be allotted units in the Target Scheme at the closing NAV of December 24 (Monday).

7. The provision for **'Minimum Application Amount'** specified in the respective Target Scheme's Scheme Information Document will not be applicable under TIP Facility. e.g. the minimum application amount for new investors in HDFC Large Cap Fund - Growth Plan is Rs.5,000/-. However in case of TIP Facility, an Unit Holder can avail of the facility irrespective of the amount (subject to a minimum of Rs. 500/-).

8. The Minimum amount eligible for transfer under TIP Facility is Rs. 500/- (Rupees Five Hundred Only). If the amount is less than Rs. 500/- it will be automatically reinvested in the Source Scheme.

9. **Load Structure (Target Scheme):**

   The amount to be invested under the TIP Facility from the Source Scheme to the Target Scheme shall be invested by subscribing to the units of the Target Scheme at applicable NAV. No Exit Load will be levied on units allotted in the Target Scheme under the TIP Facility.

10. Unitholders who wish to enroll for TIP Facility are required to fill TIP Facility Enrolment Form available with the ISCs, distributors/agents and also displayed on the website www.hdfcfund.com. The TIP Facility Enrolment Form should be completed in English in Block Letters only. Please tick (✓) in the appropriate box (☐), where boxes have been provided. The TIP Facility Enrolment Form complete in all respects should be submitted at any of the Official Points of Acceptance of HDFC Mutual Fund.

11. The request for enrolment for TIP Facility must be submitted at least 10 days prior to the Record Date for the distribution under IDCW Option. In case of the condition not being met, the enrolment would be considered valid from the immediately succeeding Record Date, provided the difference between the date of receipt of a valid application for enrolment under TIP Facility and the next Record Date is not less than 10 days.

12. Unitholder(s) are advised to read the Scheme Information Documents of Target Scheme(s) carefully before investing. The Scheme Information Documents / Key Information Memorandum(s) of the respective Scheme(s) are available with the ISCs of HDFC Mutual Fund, brokers / distributors and also displayed on the HDFC Mutual Fund website i.e. - www.hdfcfund.com

13. Unit holders will have the right to discontinue the TIP Facility at any time by sending a written request to the ISC. Notice of such discontinuance should be received at least 10 days prior to the Record Date. On receipt of such request, the TIP facility will be terminated. At the time of discontinuation of TIP facility, the Unit holders should indicate their choice of option i.e. reinvestment or payout. In the event the Unitholder does not indicate his choice, the amount, if any, will be reinvested (compulsory payout if reinvestment option is not available) in the Source Scheme. Once the request for TIP Facility is registered, then it shall remain in force unless it is terminated as aforesaid.

14. **Permanent Account Number (PAN)**

    SEBI has made it mandatory for all applicants (in the case of application in joint names, each of the applicants) to mention his/her permanent account number (PAN) {Except as mentioned below} irrespective of the amount of investment. Where the applicant is a minor, and does not possess his / her own PAN, he / she shall quote the PAN of his/ her father or mother or the guardian, as the case may be. Applications not complying with the above requirement may not be accepted/ processed. PAN card copy is not required separately if KYC acknowledgement letter is made available.

    Redemption and related transaction(s) will not be allowed if PAN is not updated in the folios.

    For further details, please refer Section 'Permanent Account Number' under Statement of Additional Information available on our website www.hdfcfund.com

    PAN Exempt Investments

    PAN Exempt KYC Reference Number (PEKRN) holders may enroll for this facility. For further details on PAN exempt Investments, refer Instructions of Scheme Application Form or Statement of Additional Information. However, if the amount per transfer is Rs.50,000 or more, in accordance with the extant Income Tax rules, investors will be required to furnish a copy of PAN to the Mutual Fund.

15. **Know Your Customer (KYC) Compliance:**

    Investors should note that it is mandatory for all registrations for DTP to quote the KYC Compliance Status/ KYC Number, as applicable for each applicant (guardian in case of minor) in the application and attach proof of KYC Compliance viz. KYC Acknowledgement Letter. For more details, please refer to the Statement of Additional Information ('SAI') available on our website www.hdfcfund.com

16. **Investments through distributors**

    As per directions of Securities and Exchange Board of India (SEBI), Investors can route their application forms directly and /or through the distributors /employees of the distributor who hold a valid certification from the National Institute of Securities Markets (NISM) and ARN provided by Association of Mutual Funds in India (AMFI). Further, no agents / distributors are entitled to sell units of mutual funds unless the intermediary is registered with AMFI.

    Employee Unique Identification Number (EUIN)

    Every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. Investors are requested to verify the AMFI registration details from their Distributor. However, in case of any exceptional cases, where there is no interaction by the employee/ sales person/relationship manager of the distributor/sub broker with respect to the transaction and EUIN box is left blank, you are required to provide the duly signed declaration to the effect as given in the form.

    New cadre distributors

    Postal agents, retired government and semi-government officials (class III and above or equivalent), retired teachers and retired bank officers (all such retired persons with at least 10 years of service) and other similar persons (such as Bank correspondents) as may be notified by AMFI/ the AMC from time to time as new cadre distributors are permitted to sell eligible schemes of the Fund (details of eligible scheme is available on www.hdfcfund.com). They also hold an EUIN which must be quoted in the application form. In case your application for subscription through such distributor is not for an eligible scheme, it is liable to be rejected.

    These requirements do not apply to Overseas Distributors.

    Overseas Distributors

    For, overseas Distributors, the ARN Code provided by AMFI is required to be incorporated in the space provided. Overseas Distributors are required to comply with the laws, rules and regulations of jurisdictions where they carry out their operations in the capacity of distributors.