HDFC MUTUAL FUND
BHAROSA APNO KA

HDFC Asset Management Company Ltd.
HDFC House, 4th Floor, HT Parekh Marg, Churchgate, Mumbai 400020.
Telephone (Direct) : +91 (22) - 6641-5659
Email : Partners@hdfcfund.com Website : www.hdfcfund.com

DISTRIBUTOR EMPANELMENT FORM

KEY CONTACT DETAILS

NAME (Mr./Ms. M/s) (Block Letters)

ADDRESS FOR COMMUNICATION (Block Letters)

PIN

Please affix recent Passport size Photograph (only for Individual)

Telephone (O) — (R) –

Mobile Fax –

E-Mail 1 E-Mail 2

TAX STATUS (Please tick anyone)

INDIVIDUALS

☐ Individuals ☐ Sole Proprietorship

NON-INDIVIDUALS

☐ Partnership Firm ☐ Private Limited Company ☐ Public Limited Company
☐ Society / Trust ☐ Others ______________

ADDITIONAL INFORMATION

Individuals

Date of Birth DD MM YY

Educational Qualification

PAN No.

UIN No.

(Please attach a copy of the PAN Card / Letter)

Non-Individuals

Date of Incorporation DD MM YY

Contact Person

PAN No.

UIN No.

(Please attach a copy of the PAN Card / Letter)

NOMINATION DETAILS FOR BROKERAGE / COMMISSION

(For individuals and sole proprietorships)

Name

Address

Relationship with Distributor

If Nominee is a minor

Date of Birth of Nominee

Name of Legal Guardian

Address of Legal Guardian

Signature of Legal Guardian

DETAILS OF YOUR BANK ACCOUNT (Brokerage cheques will contain the account details to avoid fraudulent encashment)

Account No. Type ☐ Savings ☐ Current ☐ Others ________________

Bank Branch City

Preferred Mode of receipt of brokerage :

☐ By Cheque (couriered to the address mentioned above) ☐ Direct credit to my/our Account as given above.* (Refer to Clause 23)

** As and when the facility is introduced.*

EXPERIENCE IN SELLING

☐ Equity Shares ☐ Fixed Deposits ☐ Insurance ☐ Mutual Funds ☐ Bonds ☐ Others __________________

FUNDS MOBILISED

	Mutual Funds	**Other Instruments**
Cumulative to date (Rs.)		
Last one year (Rs.)		

AMFI CERTIFICATION DETAILS (Please attach a copy of the ARN Card / AMFI Certificate)

Registration No. ARN- Passed on Valid upto

DECLARATION

I/We hereby declare that the information furnished is true and correct to the best of my/our knowledge and belief. I/We undertake to abide by the terms and conditions stated overleaf and the changes in Terms & Conditions from time to time relating to the empanelment of distributor. I/We am/are not an Employee or a relative of a Director/Employee of the AMC/Sponsor/or any of its associates.

Place

Date

Signature of Applicant / Authorised Signatory

FOR OFFICE USE ONLY

Application received on		ISC		Agent's Code No.	
Date of Appointment		Remarks			
Authorised by					

Checklist of Documents

Documents	Individual	Partnership Firm	Company	HUF
Copy of the AMFI Certificate /ARN Card	☐	☐	☐	☐
PAN Card Copy	☐	☐	☐	☐
Cancelled Cheque Copy	☐	☐	☐	☐
Memorandum & Articles of Association	NA	NA	☐	NA
Authorised Signatory List	NA	☐	☐	NA
Partnership Deed & Resolution	NA	☐	NA	NA
Board Resolution	NA	NA	NA	NA
KYD Acknowledgement	☐	☐	☐	☐

TERMS AND CONDITIONS

1. The statements made/information provided by the distributor in the Distributor Empanelment Form ('Form') and the declaration made therein read with these terms and conditions ("Terms and Conditions") shall be the basis of the contract between HDFC Asset Management Company Ltd (AMC) and the distributor and the distributor agrees to be legally bound by the same.
2. The appointment as the distributor will be at the sole discretion of the AMC and will be subject to written confirmation by AMC. The agency of the distributor will commence on the date stated in the appointment letter issued by AMC.
3. In case of application by non-individuals, a certified true copy of the relevant resolution authorizing to undertake the activities of distribution of mutual fund units or a letter from the Chairman/MD/ CEO/Authorised Persons confirming that the Organization has been authorized to undertake distribution of mutual funds along with a certified true copy of the Memorandum and Articles of Association/Bye-laws/Partnership Deed /Trust Deed /Society Registration Documents and Certificate of Registration and list of Authorised Signatories should be submitted.

DECLARATION

4. The distributor agrees to abide by these Terms and Conditions and rules in force and the changes in the Terms and Conditions from time to time relating to the agency.
5. The distributor agrees to carry out such directions and HDFC Asset Management Company Limited (AMC) may from time to time, issue instructions in this regard.
6. The distributor agrees to read and understand the Offer Document and any addendum /notice issued thereto of the respective scheme(s) carefully and explain the market risks, investment risks, investment objectives, and the special features of the schemes to the investors. The distributor must not make any representation concerning Units or the Mutual Fund except those contained in the Offer Document of the respective scheme(s), the Key Information Memorandum containing Application Forms and printed information issued by the AMC as information supplemental to such documents.
7. The distributor agrees to use only such latest Offer Documents, Key Information Memorandum containing applications forms and advertising material provided by AMC and the distributor shall not design his/her own advertisement of the Scheme(s) of HDFC Mutual Fund unless it has obtained prior approval in writing from the AMC.
8. The distributor agrees that he/she will not use any unethical means to sell, market or induce any investor to buy units of schemes of HDFC Mutual Fund.
9. The distributor agrees he/she will not rebate/pass on the commission/brokerage to investors and shall not attract/induce investors through temptation of rebate or by offering gifts, benefits which are extraneous to the schemes of HDFC Mutual Fund.
10. The distributor shall not receive cash on behalf of the AMC. The distributor is not authorized to issue any receipt for cash and demand drafts received along with the application form(s) on behalf of the AMC.
11. The distributor hereby agrees to comply with the provisions of the Securities and Exchange Board of India (Mutual Funds), Regulations, 1996 as amended from time to time with specific focus on regulations /guidelines on advertisements/sales literature and comply with and adhere to the Code of Conduct and best practices prescribed for the intermediaries of Mutual Fund by Association of Mutual Fund of India (AMFI) The distributor shall also comply with circulars and adhere to guidelines issued by the Association of Mutual Funds of India (AMFI) and SEBI from time to time.
12. The distributor shall provide self certification in the prescribed format certifying compliance with the provisions of the extant SEBI/Mutual guidelines/circulars, adherence to the Code of Conduct as prescribed by SEBI/AMFI for intermediaries of Mutual Fund and fulfilling the minimum prescribed criteria regarding the number of investors and average assets under management **at the end of every financial year to the AMC. If the said self certification is not provided, then the brokerage will be suspended till the time of receipt of the certificate.**
13. All distributors, personnel, sub-agents and representatives of the distributor engaged in sales and marketing of the units of the Schemes of HDFC Mutual Fund should be certified and registered with AMFI and should hold a valid AMFI Certificate as required by SEBI. Further, the distributor shall on request by the AMC / HDFC Mutual Fund / Trustee at any time and from time to time, provide a declaration to the above effect. In addition, the AMC/ HDFC Mutual Fund/Trustee shall have the right to obtain copies of AMFI Certificates of all personnel, sub-agent(s) and representative(s) of the distributor engaged in sales and marketing of the Units of the Schemes of the HDFC Mutual Fund and they shall forthwith be required to furnish the same.
14. The distributor shall immediately notify the AMC in writing if any of its personnel or any other person engaged by the distributor has committed any act amounting to moral turpitude, financial irregularities or has been arrested by the police or has been relieved from the services/employment of the distributor. Upon receipt of such notice from the distributor, the AMC may suspend further business and payout of the commissions, etc. as it deems fit in the case.
15. The distributor shall ensure that his/her personnel/representatives shall at all times conduct themselves within the parameters of these Terms and Conditions and comply with and adhere to all applicable laws as specified in Clause (11) hereinabove and shall not commit or permit the commission of any offence; and in the event of any offence being committed, the distributor shall be liable for all consequences thereof; and the AMC shall not be directly or vicariously liable for the same.
16. The distributor hereby agrees if he /she appoints any sub-agent(s) or any representative(s) directly or indirectly, then in that case the distributor will be responsible for all the acts of the sub-agent(s)/representative(s) to AMC and to third parties.
17. a) Applicable to individual distributors-An individual distributor shall have at least 12 investors with the AMC, within one year of empanelment alternatively, furnish an undertaking stating that the individual distributor has serviced at least 25 investors across all mutual funds, this undertaking would form part of his /her annual self certification as specified in clause 12 hereinabove.
    b) Applicable to non-individual distributors-within one year of empanelment, if 75% or more of the gross funds mobilized are from associates, then furnish an undertaking stating that the non-individual distributor had serviced at least 200 investors other than associates and /or employees of the said associate during the said period. This undertaking would form part of his /her annual self-certification as specified in clause 12 hereinabove.
    c) Corporate distributors obtaining empanelment with AMC is required to have at least 100 investors from non-associates within one year of empanelment or have average assets under management of at least Rs. 1 crore with the AMC which are not from associates (being subsidiary and holding companies) within the period of one year of empanelment.
18. The limits prescribed above in sub-clause (a), (b) and (c) shall be liable to changes as per the circulars /recommendation(s) issued by AMFI/ SEBI from time to time.

INDEMNITY

19. The distributor declares and covenants with the AMC, to defend indemnify and hold the AMC and its affiliates, promoters, successors in interest and permitted assigns harmless from and against all claims, damages or assertions of liability of any kind or nature resulting from:
    a. Any breach of term, covenants and conditions or other provisions hereof, or offer documents (s) or any actions or omissions thereunder;
    b. Any failure to comply with all applicable legislation, statutes, ordinances, regulations, administrative rulings or requirement of law;
    c. The misfeasance, malfeasance or fraudulent acts of the personnel/ representative(s) of the distributor; and
    d. Any and all actions, suits, proceedings, assessments, settlement, arbitration judgments, cost and expenses, including attorneys' fees, resulting from any of the matters set forth above.
20. The distributor shall also indemnify and hold harmless the AMC, Trustee and/or the HDFC Mutual Fund from and against any and all direct and indirect costs, charges, claims, losses, expenses, damages, liabilities, awards, judgments, fines and actions of any nature whatsoever which the AMC, Trustee and/or HDFC Mutual Fund may incur/suffer due to a) the sub-agent(s) of the distributor making any representations, which are not based on information, documentation and/or literature provided by AMC/Trustee/ HDFC Mutual Fund as applicable; (b) any wrongful, dishonest, criminal, fraudulent act or willful misconduct or gross negligence of the sub-agent (s)/representative(s).

BROKERAGE/COMMISSION

21. The distributor fulfilling the empanelment criteria as per the Code of Conduct and best practices for intermediary prescribed by SEBI and AMFI shall be entitled to receive brokerage for all business brought/ funds mobilized by them for AMC till the subsistence of the agency except on the investments made in his/her own name. The brokerage will be paid to the distributor at the rates(s) prescribed by the AMC. Provided, however, that the rate (s) are subject to revision at the discretion of the AMC from time to time and the distributor shall be bound by such changes. The commission/brokerage so paid to the distributor shall be inclusive of any cost, charges and expenses incurred by the distributor in connection with the agency and shall be paid to the distributor only after deductions of the requisite taxes at the applicable rate(s) in force.
22. The distributor shall not have any claim against the AMC / HDFC Mutual Fund/ Trustee for any loss incurred by him/ her as not anticipated and arising out of any revision in the rate(s) of the commission/brokerage for business brought/funds mobilized.
23. To avail the facility of Direct Credit of brokerage payment, the distributor is required to enclose a copy of a cancelled cheque leaf for the records of AMC. AMC reserves the right to pay brokerage/commission by cheque/demand draft even if the distributor has opted for a direct credit of brokerage.
24. In case of non-compliance of any of the Terms and Conditions mentioned herein and non-compliance of SEBI/AMFI guidelines, the AMC may suspend further business and pay-out of the commissions etc. until the same is complied with.
25. In case of termination of the agency as per clauses (28) and (30) mentioned herein below, the AMC shall not pay any trail commission after the termination of the agency of the distributor for the all business brought /funds mobilized by them for AMC before the termination of the agency.
26. GST on commission shall be paid by the Fund to you as the registered distributor only after receipt of a valid tax invoice. The maximum timeline for submission of invoice shall be as notified by AMFI from time to time. The Fund shall, directly or through its Registrar and Transfer Agent, reconcile the amount of GST paid under the invoice with the GSTR-2B. In the event of any discrepancy or deficit in actual amount of GST deposited, the Fund shall be entitled to clawback such amount. Such clawback may be affected by way of adjustment in subsequent billing cycles or recovered in any other manner available under applicable law.

PRINCIPAL TO PRINCIPAL

27. These Terms and Conditions are on a principal-to-principal basis and do not create and shall not be deemed to create any employer-employee relationship between the AMC and the distributor and/or its personnel/representative(s). The distributor and/or its personnel/representative(s) shall not be entitled to, by act, word, deed or otherwise, make any statement on behalf of the AMC or in any manner bind the AMC or hold out or represent that the distributor is representing or acting as distributor of the AMC, except as expressly provided in these Terms and Conditions or otherwise in writing.
28. The activities of the distributor and its personnel/representative(s) shall not be construed to be activities of the AMC. Save and except as may be expressly permitted by the AMC, the distributor and its personnel/representative(s) shall not at any time use the name, mark or logo of the HDFC Mutual Fund/ AMC in any sales or marketing publication or advertisement, or in any other manner without prior written consent of the AMC.

TERMINATION

29. The appointment of the distributor shall be liable to be terminated by the AMC forthwith:
    a. if he /she is found to be a minor or adjudicated as an insolvent or found to be of unsound mind by a court of competent jurisdiction;
    b. if in course of any judicial proceeding it is found that he/she has knowingly participated in or connived at any fraud, dishonesty or misrepresentation, financial irregularities against the AMC or any Unit holder of HDFC Mutual Fund
    c. if the AMC is satisfied that any statement made in the Form was false or misleading or calculated to mislead;
    d. if he/she acts in any other manner prejudicial to the interest of the AMC/HDFC Mutual Fund; and
    e. if he /she does not comply with all applicable legislation, statutes, ordinances, regulations administrative rulings or requirement of law.
    f. noncompliance of any of the clauses of the Terms and Conditions specified herein and the changes made to the Terms and Conditions from time to time.
30. In addition to what is stated in the preceding paragraphs, the AMC shall have the right to terminate the appointment of the distributor at any time by giving him/her 10 days notice without assigning any reason thereof. The distributor may also terminate the agency at any time by giving 10 days notice to the AMC.
31. The agency shall stand automatically terminated without any notice from the AMC / Mutual Fund upon disqualification or withdrawal of Regulatory /Government approval to act in the capacity contemplated herein. Upon any termination, the distributor shall forthwith handover all documents, papers and material pertaining to AMC/ HDFC Mutual Fund and /or belonging to AMC/ HDFC Mutual Fund to the AMC.

NOMINATION FACILITY

32. The AMC offers nomination facility to distributor to enable the nominee to receive trail commissions on the business done before the demise of distributor holding ARN provided the distributor has complied with the Terms and Conditions mentioned herein above.
33. In respect of all disputes arising under this empanelment, the courts in Mumbai alone shall have jurisdiction.

HDFC MUTUAL FUND
BHAROSA APNO KA

Name of Distributor : ____

ARN:	SEBI Registration Number for NSEIL:	SEBI Registration Number for BSE:
________	______________ *(Copy attached)*	__________________ *(Copy attached)*

ADDENDUM TO THE TERMS AND CONDITIONS OF THE DISTRIBUTOR EMPANELMENT FORM

ROLE/OBLIGATIONS OF THE DISTRIBUTOR FOR TRANSACTING ON MFSS/NMF II/BSE STAR MF/MFU OR ANY OTHER TRANSACTION PLATFORM AVAILABLE TO THE DISTRIBUTOR

Securities Exchange Board of India (SEBI) vide circular SEBI/IMD/CIR No. 11/ 183204/2009 dated November 13, 2009 has issued guidelines for facilitating transaction in mutual fund schemes through the Stock Exchange infrastructure. The New Mutual Fund Service System (MFSS) and NMF II introduced by The National Stock Exchange of India Ltd. (NSEIL) and the Bombay Stock Exchange Platform for Allotment and Redemption of Mutual Fund units (BSE StAR MF) introduced by The Bombay Stock Exchange India Limited (BSE) are certain platforms launched with a view to enable trading of mutual fund schemes through NSEIL and BSE respectively, thereby giving effect to the aforesaid SEBI circular and subsequent guidelines issued by SEBI in this regard.

MFSS, NMF II, and BSE StAR MF facilitates the Distributor to buy/redeem units of Eligible Schemes of HDFC Mutual Fund (as defined vide Addendum dated December 3, 2009) using network, order collection, clearing and settlement mechanism adopted by NSEIL and BSE in order to facilitate such activities through National Securities Clearing Corporation Limited (NSCCL) and Indian Clearing Corporation Limited (ICCL) respectively.

Similarly, MF Utility (MFU) is a transaction aggregation portal initiated by Association of Mutual Funds in India (AMFI) and formed by Asset Management Companies of SEBI registered Mutual Funds, for enabling Investors and Distributors to transact in multiple schemes of various Mutual Funds with a single form and a single payment instrument. Both financial and non-financial transactions pertaining to Eligible Schemes of HDFC Mutual Fund can be done through authorized Points of Service of MF Utilities India Private Limited (MFUI) or electronically on the online transaction portal of MFU (as detailed in Addendum dated February 13, 2015).

These terms and conditions shall be applicable to all transactions in mutual funds executed by Distributors through MFSS, NMF II, BSE StAR MF, MFU, or any other similar facilities or platforms available to the Distributors and as may be specified in the Scheme Information Document (SID), Statement of Additional Information (SAI), Addendum, or such other document as may be issued by HDFC Asset Management Company Limited (HDFC AMC) from time to time.

The Distributor would be allowed to distribute the Eligible Schemes of HDFC Mutual Fund through MFSS, NMF II, BSE StAR MF, MFU, or such other platforms available to the Distributor for doing transactions in mutual funds provided the Distributor represents, agrees and confirms to HDFC AMC the following:

1 The Distributor confirms that it has obtained all necessary approvals, registrations and certifications required from relevant authorities for the purpose of participating in the MFSS/NMF II/BSE StAR MF/MFU or such other facility as the case may be and confirms that such approvals, registrations, and certifications shall remain in force, including by taking prompt steps for the renewal of the same. The Distributor agrees to submit to HDFC AMC all such approvals/authorizations as above as and when required by HDFC AMC.

2 The Distributor will abide by the terms and conditions as mentioned in the all applicable circulars and operating guidelines as may be issued from time to time by AMFI, NSEIL, BSE, recognized clearing corporations, depositories, MFUI and such other service providers as the case may be regarding the usage of the respective transaction platforms.

3 The Distributor will comply with SEBI Circular no. SEBI/IMD/CIR/No.4/168230/09 dated June 30, 2009 and such other applicable circulars as may be issued by SEBI from time to time and disclose to the Investors all the commissions (in the form of trail commission or any other mode) payable to the Distributor for the different competing schemes of various mutual funds from amongst which, the relevant scheme is recommended to the Investors.

4 The Distributor shall ensure that the Investors who wish to transact on MFSS/NMF II/ BSE StAR MF/MFU or such other available platforms hold the requisite registration/authorization, as the case may be, as per the terms and conditions as may be specified by NSEIL/BSE/MFUI or such other respective service provider from time to time.

5 The Distributor shall comply with the provisions as stated in the Deed of Undertaking and any other similar documents as may be furnished to NSEIL/BSE/MFUI or such other service provider, terms and conditions of the Eligible Schemes of HDFC Mutual Fund as detailed in the Scheme Information Document (SID), Statement of Additional Information (SAI), and Addendum issued

by HDFC AMC for transacting on MFSS, BSE StAR MF, MFU, or any other similar platforms, and/or any other addenda that may be issued by HDFC AMC in respect of the Eligible Schemes of HDFC Mutual from time to time.

6 On receipt of an application for redemption from the Unitholder on any of the available transaction platforms, the Distributor shall verify the genuineness of the Unitholder including verification of the signature of the Unitholder from the original PAN of such Unitholder and any other document as may deemed fit for the purposes of verification. The Distributor acknowledges that on the basis of the said verification and online feed on NSEIL, BSE, MFU, or such other Platforms as the case may be, HDFC AMC/Authorised Registrar shall process the redemption request and make the payment to the Unitholder without making any further verification. The Distributor agrees to indemnify HDFC AMC for all claims, costs, charges etc that may be suffered or incurred by HDFC AMC on account of any fraudulent, erroneous, negligent transaction request(s) (viz., purchase/redemption) that may be forwarded by the Distributor to NSEIL/BSE/MFU or to such other applicable transaction platform as the case may be and received by HDFC AMC therefrom.

7 An application for purchase/additional purchase without the details relating to PAN (requirement of PAN as detailed above), bank account details and such other regulatory requirement(s), as applicable, will not be accepted and such applications shall be rejected by the Distributor. The Distributor undertakes to correctly quote the PAN of the Investors in all cases and agrees to be liable for the same. Any transaction submitted for which validation of PAN proof is required but is not provided by the Distributor, will be rejected by HDFC AMC/Authorized Registrar.

8 The Distributor shall submit only such transaction requests that are valid in all respects including completeness of the attendant documents as may be required by HDFC AMC/NSEIL/BSE/MFU or such other service provider. The Distributor acknowledges that any transaction request, in respect of which any data is missing/lacking, shall be liable to be rejected by HDFC AMC/Authorised Registered at its sole discretion.

9 Any request or order for subscription to the units of the Eligible Schemes of HDFC Mutual Fund shall be subject to the final acceptance by HDFC AMC in accordance with the relevant SID/SAI of the respective Eligible Schemes and in accordance with circulars and operating guidelines as may be issued by NSEIL, BSE, MFUI, recognized clearing corporations, depositories, and such other entities as the case may be in this regard.

10 The Distributor will ensure compliance with all applicable laws, regulations in respect of Know Your Customer (**KYC**) & Prevention of Money Laundering as applicable to the Distributor as intermediaries and shall maintain and retain records of its Investors as per the provisions of Prevention of Money Laundering (Maintenance of Records) Rules, 2005 (**PMLA**) and SEBI circulars/guidelines issued thereto.

11 In an event the credit of the subscription amount is not received by the Distributor from the Investors due to any reason whatsoever and units have been redeemed by the Investors, HDFC AMC, NSEIL/NSCCL BSE/ICCL, MFUI, or such other service provider as the case may be, shall not be liable or responsible for any loss that may be suffered by the Distributor due to such non receipt of subscription amount from the Investors.

12 Once the intimation and or subscription amount is received by HDFC AMC/Authorised Registrar for transaction in the units of the Eligible Schemes of HDFC Mutual Fund, such intimation shall be final and cannot be modified by the Distributor.

13 In an event of termination of the arrangement between HDFC AMC and any service provider, including but not limited to, NSEIL/ NSCCL, BSE/ICCL, or MFUI, and/or suspension/cancellation order issued by SEBI against the Distributor, the Distributor's empanelment with HDFC AMC in respect of the transaction platform offered by the respective service provider, including, but not limited to MFSS/BSE StAR MF/MFU shall stand terminated forthwith without prior notice.

14 HDFC AMC shall not be liable for any losses, damages etc that may be incurred/suffered by the Investors on account of any failure of computer systems, telecommunication network and other equipments installed at the office premises of the Distributor and/or any misuse, mishandling, damage, loss, defect etc of the same.

15 The Distributor confirms that all the other terms and conditions including the data/details furnished by the Distributor in the Distributor Empanelment Form executed by the Distributor shall remain in full force and effect and shall be applicable *mutatis mutandis* to this Addendum.

I/We hereby agree to abide by the above-mentioned terms and conditions.

Signature of the Distributor : ____

Name of Distributor : ____

Date : ____________________

Place : ____________________

DECLARATION – CUM – UNDERTAKING

Date: ________________

To,
HDFC Asset Management Company Limited
HDFC House, 4th Floor,
HT Parekh Marg, Churchgate,
Mumbai 400020
Ref.: ARN : ____________________

Sub.: Declaration cum undertaking.

I / We hereby declare and confirm that:

☐ I / We have multiple point presence (i.e. presence more than twenty locations);

☐ I / We have Asset Under Management (AUM) raised over Rupees One Hundred Crores across industry in the non institutional category but including high networth individuals (HNIs);

☐ I / We have received commission over Rupees One Crore per annum across industry.

☐ I / We have received commission over Rupees Fifty Lacs from a single Mutual Fund.

(Tick (√) whichever is applicable to you. If none of the above is applicable please tick (√) the below category)

☐ I / We do not fall under any of the criteria specified above.

I / We hereby undertake that I/ we will promptly intimate if any time after the date of this declaration, I /we fall under any of the abovementioned criteria or any other criteria specified by SEBI in relation to the said SEBI circular on due diligence process for distributors.

I / We hereby confirm that the information furnished above is true and correct to the best of my / our knowledge and belief. I / We do hereby agree that this declaration cum undertaking shall form part of the distribution empanelment form which I / we have signed and shall form basis of my / our empanelment with you.

Name & Address of the Distributor :

____
____
____
____

Signature of the distributor / authorized person