



ETF COMMON TRANSACTION SLIP (for existing investors only)

Application No.:

This Form should be completed in English and in **BLOCK LETTERS** only. All fields are Mandatory.

KEY PARTNER / AGENT INFORMATION

ARN/RIA Code/Stock Broker/ Portfolio Manager Registration Number (PMRN)	ARN/RIA/Portfolio Managers/ Stock Broker's Name	Sub Agent's ARN	Bank Branch Code	Internal Code for Sub-Agent/ Employee	Employee Unique Identification Number (EUIIN)	FOR OFFICE USE ONLY (TIME STAMP)
ARN-						

EUIIN Declaration (only where EUIIN box is left blank)

I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Sign Here First/ Sole Applicant/ Guardian/PoA Holder	Sign Here Second Applicant	Sign Here Third Applicant
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TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Refer Instruction 2)

☐ I confirm that I am a first time investor across Mutual Funds.

OR

☐ I confirm that I am an existing investor across Mutual Funds.

In case the purchase/ subscription amount is Rs. 10,000 or more and your Distributor has opted in to receive Transaction Charges, the same are deductible as applicable from the purchase/ subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.

1. APPLICANT Details (IF YOU HAVE EXISTING FOLIO, PLEASE FILL IN SECTIONS viz. 1, 3, 4, 4a, 4b, 7, 8, 10, 12 and 14 ONLY. Refer instruction 3).

Folio No. / Date D D M M Y Y Y Y

First / Sole Applicant

LEI Code Valid up to D D M M Y Y Y Y

Note: Legal Entity Identifier Number is Mandatory for Transaction value of INR 50 crore and above for Non-Individual investors.

RBI vide circular dated January 2021 on "Introduction of Legal Entity Identifier for Large Value Transactions in Centralized Payment Systems" decided to introduce the LEI system for all payment transactions of value INR 50 crore and above for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT) from April 1, 2021. In view of the same it will be mandatory to include 20-digit Legal Entity Identifier (LEI) information while initiating any transaction of value INR 50 crore and above by entities (non-Individual) for purchase and redemption transaction.

I/We would like to apply for ☐ **ADDITIONAL PURCHASE** (fill section - 2 & 33) ☐ **REDEMPTION** (fill section - 3 & 4)

2. ADDITIONAL PURCHASE ☐ CASH ☐ BASKET

Payment Options ☐ RTGS / NEFT ☐ Transfer • RTGS/ NEFT/ Transfer not acceptable in case of Multiple Investment.

Bank Name UTR No. UTR No (in case of RTGS / NEFT) / OTM ref no. in case of One time Mandate

Rs. (in figures) Rs. (in words)

DEMAT ACCOUNT DETAILS OF FIRST / SOLE APPLICANT ☐ NSDL ☐ CDSL

Depository Participant Name Depository Participant (DP) ID

Beneficiary Account Number

Note: In case there is any change in your KYC information please update the same by using the prescribed 'KYC Change Request Form' and submit the same at the Point of Service of any KYC Registration Agency.

3. SCHEME DETAILS

Tick	Scheme Name	Creation Unit Size	No. of Creation Unit Size	ISIN	Amount	Demat Details*
☐	HDFC NIFTY BANK ETF	12,500		INF179KC1AN8		DP ID - IN303786, Client ID - 10011141, HDFC NIFTY BANK ETF - SUBSCRIPTION
☐	HDFC NIFTY 50 ETF	40,000		INF179KC1965		DP ID - IN303786, Client ID - 10011385, HDFC NIFTY 50 ETF - SUBSCRIPTION
☐	HDFC S&P BSE SENSEX ETF	10,000		INF179KC1973		DP ID - IN303786, Client ID - 10011264, Name HDFC S&P BSE SENSEX ETF - SUBSCRIPTION
☐	HDFC NIFTY 100 ETF	3,00,000		INF179KC1HR4		DP ID - IN303786, Client ID - 10011248, HDFC NIFTY 100 ETF - SUBSCRIPTION
☐	HDFC NIFTY NEXT 50 ETF	1,00,000		INF179KC1HS2		DP ID - IN303786, Client ID - 10011344, HDFC NIFTY NEXT 50 ETF - SUBSCRIPTION
☐	HDFC NIFTY100 QUALITY 30 ETF	1,50,000		INF179KC1DL6		DP ID - IN303786, Client ID - 10011352, HDFC NIFTY100 QUALITY 30 ETF - SUBSCRIPTION
☐	HDFC NIFTY200 MOMENTUM 30 ETF	2,50,000		INF179KC1HV6		DP ID - IN303786, Client ID - 10011213, HDFC NIFTY200 MOMENTUM 30 ETF - SUBSCRIPTION
☐	HDFC NIFTY100 LOW VOLATILITY 30 ETF	3,00,000		INF179KC1HU8		DP ID - IN303786, Client ID - 10011205, HDFC NIFTY100 LOW VOLATILITY 30 ETF - SUBSCRIPTION
☐	HDFC NIFTY GROWTH SECTORS 15 ETF	60,000		INF179KC1DJ0		DP ID - IN303786, Client ID - 10011184, HDFC NIFTY GROWTH SECTORS 15 ETF - SUBSCRIPTION
☐	HDFC NIFTY 50 VALUE 20 ETF	15,000		INF179KC1DK8		DP ID - IN303786, Client ID - 10011168, HDFC NIFTY 50 VALUE 20 ETF - SUBSCRIPTION
☐	HDFC NIFTY PRIVATE BANK ETF	7,500		INF179KC1DW3		DP ID - IN303786, Client ID - 10011297, HDFC NIFTY PRIVATE BANK ETF - SUBSCRIPTION

*DP Name - SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.

ACKNOWLEDGEMENT SLIP (To be filled in by the Investor) [For any queries please contact our nearest Investor Service Centre or call us at our Customer Service Number 1800 3010 6767 / 1800 419 7676 (Toll Free)]

Date :

HDFC MUTUAL FUND
Head Office : HDFC House, 2nd Floor, H.T. Parekh Marg, 165-166,
Backbay Reclamation, Churchgate, Mumbai - 400 020.

Application No.:

Folio No.

Received a request for

☐ Redemption

☐ Additional Purchase

ISC Stamp & Signature

Received from Mr. / Ms. / M/s.

... continued overleaf

3. SCHEME DETAILS

Tick	Scheme Name	Creation Unit Size	No. of Creation Unit Size	ISIN	Amount	Demat Details*
☐	HDFC NIFTY IT ETF	10,000		INF179KC1DX1		DP ID - IN303786, Client ID - 10011272, HDFC NIFTY IT ETF - SUBSCRIPTION
☐	HDFC S&P BSE 500 ETF	11,55,000		INF179KC1EZ4		DP ID - IN303786, Client ID - 10011117, HDFC S&P BSE 500 ETF - SUBSCRIPTION
☐	HDFC NIFTY MIDCAP 150 ETF	3,50,000		INF179KC1HT0		DP ID - IN303786, Client ID - 10011221, HDFC NIFTY MIDCAP 150 ETF - SUBSCRIPTION
☐	HDFC NIFTY SMALLCAP 250 ETF	35,000		INF179KC1FB2		DP ID - IN303786, Client ID - 10011328, HDFC NIFTY SMALLCAP 250 ETF - SUBSCRIPTION
☐	HDFC GOLD EXCHANGE TRADED FUND**	1,20,000		INF179KC1981		DP ID - IN300484, Client ID - 13911007, Name - HDFC MUTUAL FUND HDFC GOLD EXCHANGE TRADED FUND** - SUBSCRIPTION
☐	HDFC SILVER ETF**	30,000		INF179KC1DI2		DP ID - IN300484, Client ID - 13911007, Name - HDFC MUTUAL FUND HDFC SILVER ETF** - SUBSCRIPTION

*DP Name - SBI-SG GLOBAL SECURITIES SERVICES PVT. LTD.

No. of Units (in words) _____

Transaction amount (in Rs.) _____ Transaction amount (in words.) _____

RRN Number: _____

*For redemption, attach copy of RRN for transfer of units to AMC A/c (Mandatory)

****For HDFC Gold ETF and HDFC Silver ETF, the AMC will accept Portfolio Deposit (i.e. physical gold/ silver) only from a Market Makers and NOT from Large Investors.**

For HDFC Gold ETF/ HDFC Silver ETF Portfolio Deposit of Gold/ Silver (Kgs): (applicable only for subscription by Market Makers. Refer instruction 7a, 7b, and 7c)

Authorised Dealer

Shipper

The redemption price will be determined based on the proceeds of the underlying Portfolio Securities sold by the AMC on behalf of Market Makers/ Large Investor after adjusting necessary charges/ costs, if any.

I/ We want to receive the redemption/ IDCW amount by way of a cheque instead of direct credit/ credit through NEFT system or RTGS into my/ our bank account. ☐

4. DECLARATION & SIGNATURE/S (refer instruction 14)

I/ We have read, understood the terms and conditions of the scheme related documents and the addendum issued therein till date, Key Information Memorandum of the Schemes as well as the rules and regulations of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable to me/us from time to time and agree to comply with the same as a Unitholder. I/We hereby apply to the Trustees for allotment of Units of the Scheme(s) of HDFC Mutual Fund ('Fund') and confirm and declare as under:

- (a) I/We am/are eligible Investor(s) as per the scheme related documents and not prohibited from accessing capital markets by any order/ruling/ judgment etc. passed by SEBI/ Statutory Authority or Courts in India and Foreign laws. I am/We are authorised to make this investment as per the Constitutive documents/ authorization(s). The amount invested in the Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India.
- (b) I/ We will be bound by the Fund's terms and conditions as amended from time to time.
- (c) The information given by me /us in or along with this application form is true and correct and I/we shall furnish such other further/additional information as may be required by the HDFC Asset Management Company Limited (AMC)/ Fund. I/We undertake to promptly inform the AMC / Fund/Registrars and Transfer Agent (RTA) in writing about any change in the information furnished by me/us from time to time.
- (d) I/We hereby authorize you to disclose, share, remit in any form/manner/mode the above information and/or any part of it including the changes/updates that may be provided by me/us to the Fund, its Sponsor/s, Trustees, Asset Management Company, its employees, agents and third party service providers, SEBI registered intermediaries for single updation/ submission, any Indian or foreign statutory, regulatory, judicial, quasijudicial authorities/agencies including but not limited to Financial Intelligence Unit-India (FIU-IND) etc without any intimation/advice to me/us.
- (e) I/We hereby consent for providing transactions data feed, portfolio holdings, NAV etc. in respect of my/our transactions under Direct Plan to the RIA/Portfolio Managers/ Stock Broker registered in the concerned folio, if applicable.
- (f) I/We shall be liable and responsible for any loss, claims suffered, directly or indirectly by AMC/ Fund/ RTA/ SEBI Intermediaries, arising out of any false, misleading, inaccurate and incomplete information furnished by me/us at the time or investing/redeeming the units. I/We hereby unconditionally and irrevocably indemnify and at all time keep indemnified, save and harmless AMC/Fund/Trustee and their officers, directors and employees against all actions, proceedings, claims, losses, damages, charges and expenses incurred or suffered /paid by AMC/Fund in this regard and in case of any dispute regarding the eligibility, validity and authorization of my/our transactions.
- (g) The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.
- (h) I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/ COMMUNICATED ANY INDICATIVE PORTFOLIO AND/ OR ANY INDICATIVE YIELD BY THE FUND/AMC/ITS DISTRIBUTOR FOR THIS INVESTMENT.**

Consent for Telemarketing (Refer Instruction 20):

I/We hereby accord my/our consent to HDFC AMC for receiving the promotional information/ material via email, SMS, telemarketing calls etc. on the mobile number and email provided by me/us in this Application Form.

Consent for disclosure of Personal Information in terms of Privacy Policy

I/We hereby confirm to have read, understood and agree to the terms of Privacy Policy (available on <https://www.hdfcfund.com>) ("Policy") of HDFC AMC/ Fund.

I/We hereby accord my/our consent to HDFC AMC/Fund for collecting, receiving, possessing, storing, dealing, handling or disclosure of my/ our Personal Data and hereby authorize to disclose it to the third party or another body corporate or any person acting under a lawful contract with HDFC AMC, in accordance with the Privacy Policy.

For Foreign Nationals Resident in India only:

I/We will redeem my/our entire investment/s before I/We change my/our Indian residency status. I/We shall be fully liable for all consequences (including taxation) arising out of the failure to redeem on account of change in residential status.

For NRIs/ PIO/ OCIs/ FPIs only:

I/We confirm that my application is in compliance with applicable Indian and foreign laws.
For NRIs/ PIO/OCIs Please (✓) ☐ Repatriation basis ☐ Non-repatriation basis

SIGN HERE

(Please write Application Form No. / Folio No. on the reverse of the Cheque / Demand Draft / Payment Instrument.)

First / Sole
Applicant /
Guardian

SIGN

Second
Applicant

SIGN

Third
Applicant

SIGN

SIGNATURE(S)

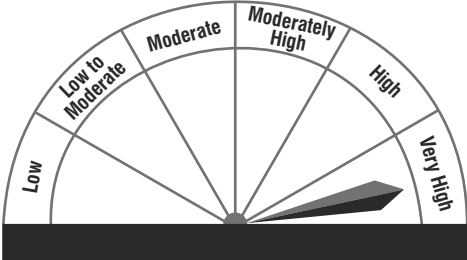
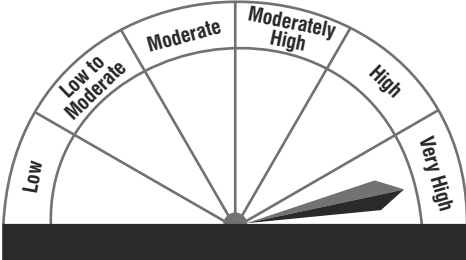
Acknowledgement Slip

Scheme Name: _____

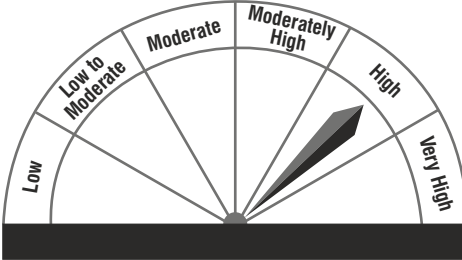
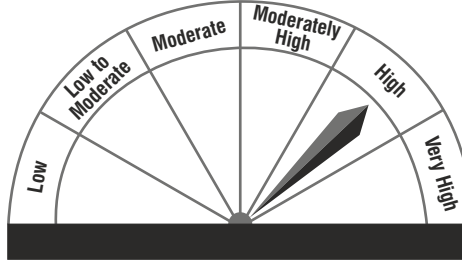
Folio No. _____ ☐ Additional Purchase ☐ Redemption | **Cash**

Cheque No _____ Cheque Date _____ Amount Rs. _____ or Units _____

Scheme Riskometer & Benchmark Riskometer

NAME OF THE SCHEME	RISKOMETER	BENCHMARK RISKOMETER#
HDFC NIFTY Bank ETF An open ended scheme replicating/ tracking Nifty Bank Index #Benchmark: NIFTY Bank Index (Total Returns Index)	 Investors understand that their principal will be at very high risk	
HDFC NIFTY 50 ETF An open ended scheme replicating/ tracking NIFTY 50 Index #Benchmark: NIFTY 50 Index (Total Returns Index)		
HDFC S&P BSE SENSEX ETF An open ended scheme replicating/ tracking S&P BSE SENSEX Index #Benchmark: S&P BSE SENSEX Index (Total Returns Index)		
HDFC NIFTY 100 ETF An open ended scheme replicating/tracking Nifty 100 Index #Benchmark: Nifty 100 Index (Total Returns Index)		
HDFC NIFTY Next 50 ETF An open ended scheme replicating/tracking NIFTY Next 50 Index (TRI) #Benchmark: NIFTY Next 50 Index (Total Returns Index)		
HDFC NIFTY Growth Sectors 15 ETF An open ended scheme replicating/tracking NIFTY Growth Sectors 15 Index (TRI) #Benchmark: NIFTY Growth Sectors 15 Index (Total Returns Index)		
HDFC NIFTY100 Quality 30 ETF An open ended scheme replicating/tracking NIFTY100 Quality 30 Index (TRI) #Benchmark: NIFTY100 Quality 30 Index (Total Returns Index)		
HDFC NIFTY50 Value 20 ETF An open ended scheme replicating/tracking NIFTY50 Value 20 Index (TRI) #Benchmark: NIFTY50 Value 20 Index (Total Returns Index)		
HDFC NIFTY200 MOMENTUM 30 ETF An open ended scheme replicating/tracking NIFTY200 Momentum 30 Index (TRI) #Benchmark: NIFTY200 Momentum 30 Index (Total Returns Index)		
HDFC NIFTY100 LOW VOLATILITY 30 ETF An open ended scheme replicating/tracking NIFTY100 Low Volatility 30 Index (TRI) #Benchmark: NIFTY100 Low Volatility 30 Index (Total Returns Index)		
HDFC NIFTY Private Bank ETF An open ended scheme replicating/tracking NIFTY Private Bank Index #Benchmark: NIFTY Private Bank Index (Total Returns Index)		
HDFC NIFTY IT ETF An open ended scheme replicating/tracking NIFTY IT Index #Benchmark: NIFTY IT Index (Total Returns Index)		
HDFC Silver ETF An open ended Exchange Traded Fund (ETF) replicating/tracking performance of Silver #Benchmark: Domestic Prices of physical Silver (based on LBMA Silver daily spot fixing price)		
HDFC S&P BSE 500 ETF An open ended scheme replicating/tracking S&P BSE 500 Index #Benchmark: S&P BSE 500 Index (TRI)		
HDFC NIFTY Midcap 150 ETF An open ended scheme replicating/tracking NIFTY Midcap 150 Index #Benchmark: NIFTY Midcap 150 Index (TRI)		
HDFC NIFTY Smallcap 250 ETF An open ended scheme replicating/tracking NIFTY Smallcap 250 Index #Benchmark: NIFTY Smallcap 250 Index (TRI)		

Scheme Riskometer & Benchmark Riskometer

NAME OF THE SCHEME	RISKOMETER	BENCHMARK RISKOMETER#
HDFC Gold Exchange Traded Fund An open ended scheme replicating / tracking performance of Gold #Benchmark: Domestic Price of Physical Gold	 Investors understand that their principal will be at high risk	

For KIM and Application Form Please Click below Links

SR. No.	Name of the scheme
1	HDFC Gold Exchange Traded Fund https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20Gold%20ETF%20dated%20April%2028%2C%202023.pdf?_gl=1*srp5ue*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1
2	HDFC NIFTY 100 ETF https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20NIFTY%20100%20ETF%20dated%20April%2028%2C%202023.pdf?_gl=1*srp5ue*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1
3	HDFC NIFTY 50 ETF https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20Nifty%2050%20ETF%20Fund%20dated%20April%2028%2C%202023.pdf?_gl=1*1syvzuc*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1
4	HDFC NIFTY Bank ETF https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20Nifty%20Bank%20ETF%20dated%20April%2028%2C%202023.pdf?_gl=1*1aft2f2*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1
5	HDFC NIFTY IT ETF https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20NIFTY%20IT%20ETF%20dated%20April%2028%2C%202023.pdf?_gl=1*1aft2f2*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1
6	HDFC NIFTY Midcap 150 ETF https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20NIFTY%20Midcap%20150%20ETF%20dated%20January%2017%2C%202023.pdf?_gl=1*bvvw3oc*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1
7	HDFC NIFTY Growth Sectors 15 ETF https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20NIFTY%20Growth%20Sectors%2015%20ETF%20dated%20April%2028%2C%202023.pdf?_gl=1*bvvw3oc*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1
8	HDFC NIFTY Next 50 ETF https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20NIFTY%20Next%2050%20ETF%20dated%20April%2028%2C%202023.pdf?_gl=1*sn9trv*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1
9	HDFC NIFTY Private Bank ETF https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20NIFTY%20Private%20Bank%20ETF%20dated%20April%2028%2C%202023.pdf?_gl=1*1t4hgyh*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1
10	HDFC Nifty Smallcap 250 ETF https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20NIFTY%20Smallcap%20250%20ETF%20dated%20January%2017%2C%202023.pdf?_gl=1*1y6gjlh*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1
11	HDFC NIFTY100 Low Volatility 30 ETF https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20NIFTY100%20Low%20Volatility%2030%20ETF%20dated%20April%2028%2C%202023.pdf?_gl=1*1y6gjlh*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1
12	HDFC NIFTY100 Quality 30 ETF https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20NIFTY%20100%20Quality%2030%20ETF%20dated%20April%2028%2C%202023.pdf?_gl=1*vqq8d3*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1
13	HDFC NIFTY200 Momentum 30 ETF https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20NIFTY200%20Momentum%2030%20ETF%20dated%20April%2028%2C%202023.pdf?_gl=1*4iajfs*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1
14	HDFC NIFTY50 Value 20 ETF https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20NIFTY50%20Value%2020%20ETF%20dated%20April%2028%2C%202023.pdf?_gl=1*17re6fe*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1
15	HDFC S&P BSE 500 ETF https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20S%26P%20BSE%20500%20ETF%20dated%20January%2017%2C%202023.pdf?_gl=1*17re6fe*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1
16	HDFC S&P BSE SENSEX ETF https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20S%26P%20BSE%20SENSEX%20ETF%20Fund%20dated%20April%2028%2C%202023.pdf?_gl=1*1svs7h3*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1
17	HDFC Silver ETF https://files.hdfcfund.com/s3fs-public/KIM/2023-08/KIM-HDFC%20Silver%20ETF%20dated%20April%2028%2C%202023.pdf?_gl=1*1j3ngq4*_gcl_au*MjAwMjYzNzk2NC4xNjkwNzk3Njg1